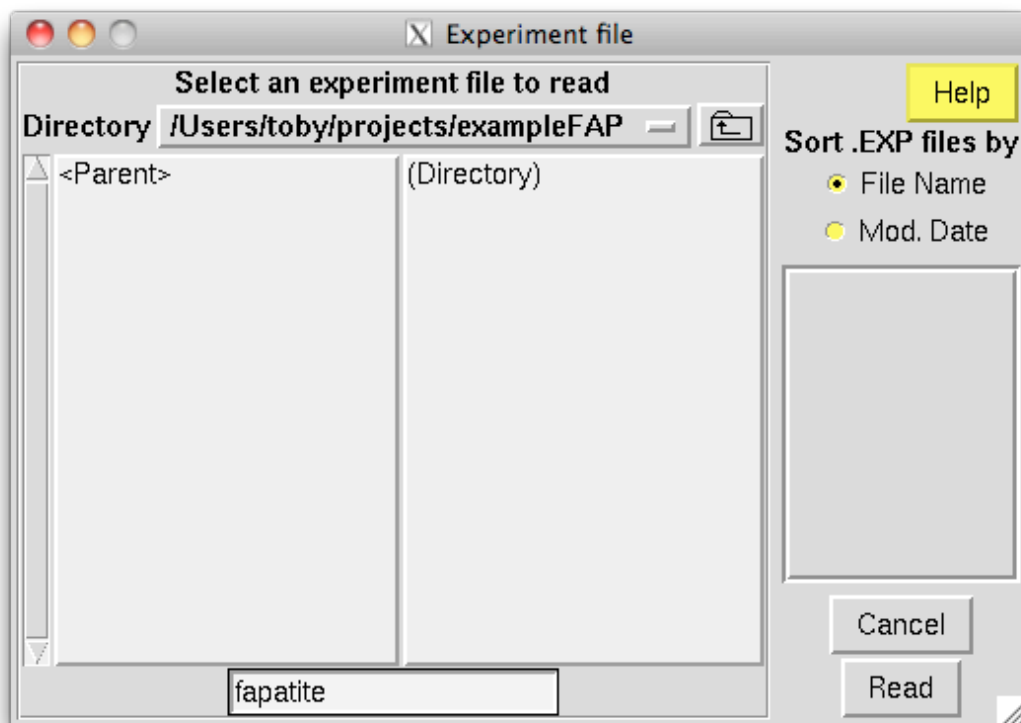


## Fluoroapatite GSAS/EXPGUI Example

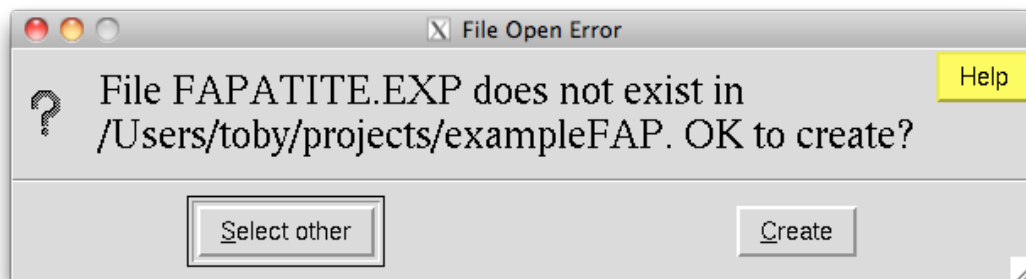
This example uses a fits the structure of fluoroapatite to laboratory x-ray data. See <https://subversion.xor.aps.anl.gov/trac/EXPGUI/browser/tutorials/tutorial4> to download the three files needed to work through this example (FAP.GSA, FAP.cif, INST\_XRY.PRM) one at time (To download, click on the file and then use at bottom of page "Download in other formats:" Original Format to start the download). Or, click on <https://subversion.xor.aps.anl.gov/EXPGUI/tutorials/tutorial4/FAP.zip> to download four files (FAP.GSA, FAP.cif, INST\_XRY.PRM plus FapatitleExample.pdf) one at at time.

### Step 1. Start EXPGUI

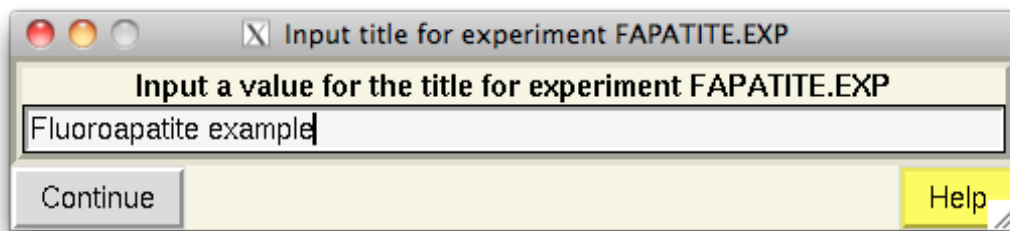
Starting EXPGUI is different on different operating systems, but once EXPGUI is started, the window below is displayed:



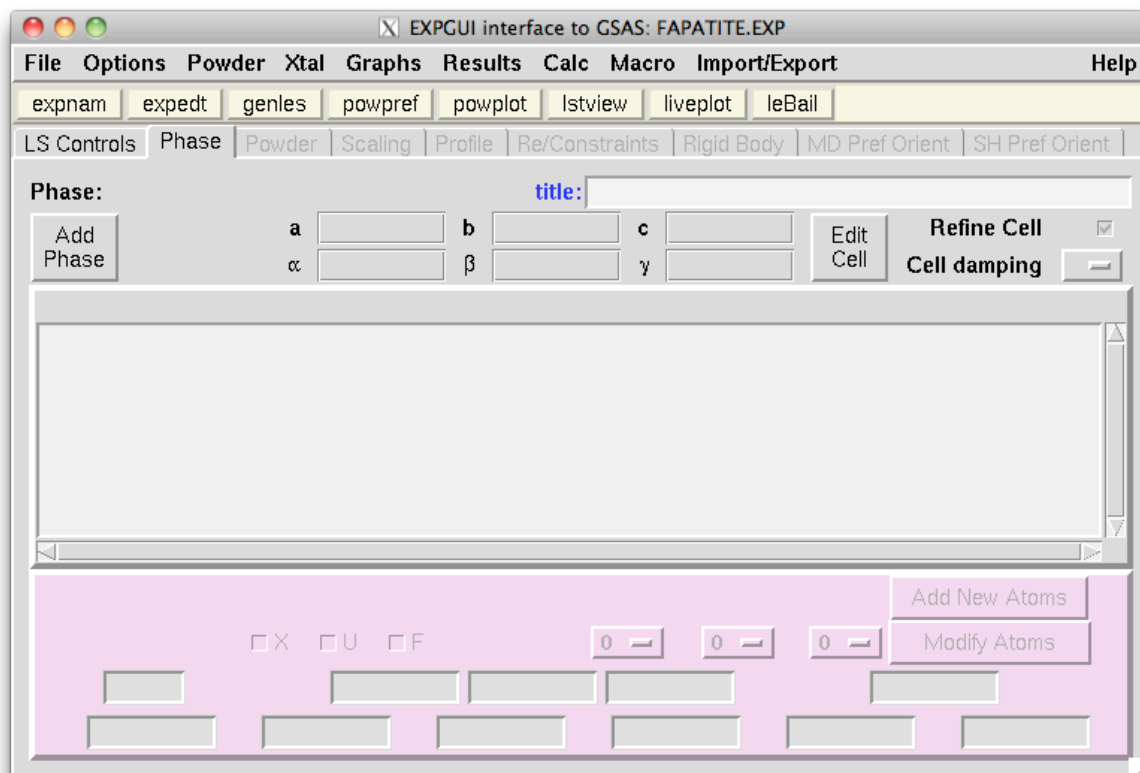
Here, enter a name for the experiment file (your choice). Press **Read**. Since this is a file we want to create as new, rather than one exists, we get a warning box:



Press **Create** and enter an arbitrary title (for your own use) in the next window:



Press **Continue**. EXPGUI then opens the main window (see below) and brings you to the phase panel (this is a hint as to what you need to do). Note that almost all other tabs are disabled (also a hint).



**Step 2. Add a Phase to the Experiment**

Now press **Add Phase** to enter the structural information. Note that we are going to read a cell and atoms in one step, but this can be done in multiple steps. This information can be read from a file or be typed into windows.

add new phase

Adding phase #1

Phase title:

Space Group:

Cell Type: **Any**

a	b	c
α 90.	β 90.	γ 90.

Add Cancel Help

Import phase from: PowderCell .CEL file

Here we read from a CIF file by changing **PowderCell .CEL file** to **Crystallographic Information File (CIF)**. This brings up a window where we can select the CIF file (note this window will appear different depending on the operating system):

Open

Directory: /Users/toby/projects/exampleFAP

FAP.cif

File name: FAP.cif

Files of type: All Crystallographic Information File (CIF) (\*.cif,...)

Open Cancel

Press **Open**. Lattice/space group information is loaded into the previous window for us:

add new phase

Adding phase #1

Phase title: from /Users/toby/projects/exampleFAP/FAP.cif

Space Group: P 63/m

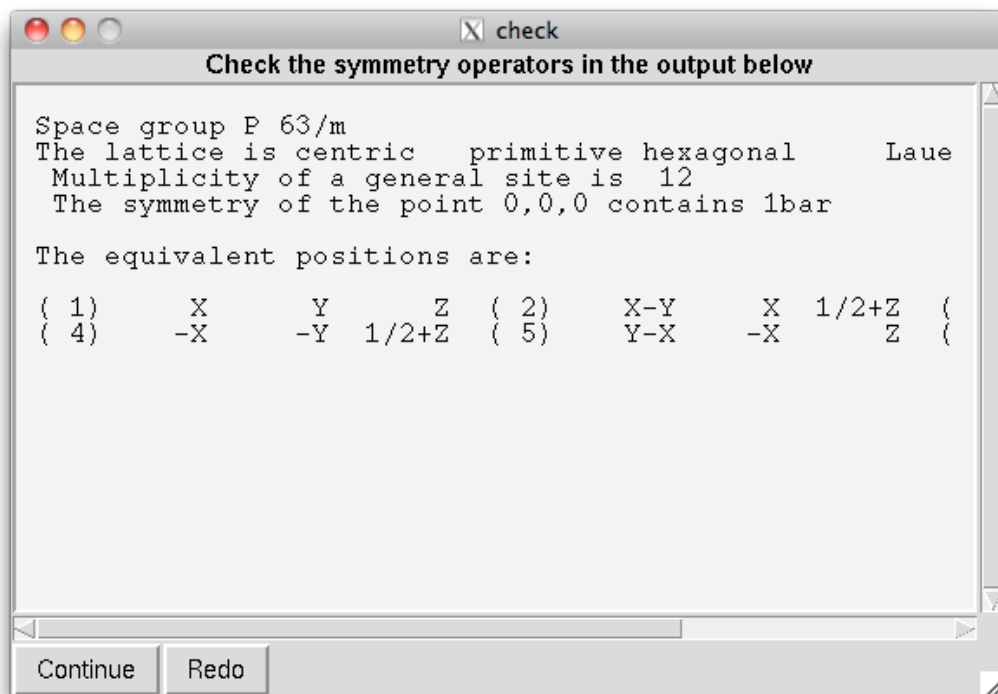
Cell Type: **Any**

a	b	c
9.372	9.372	6.886
α 90.0	β 90.0	γ 120.0

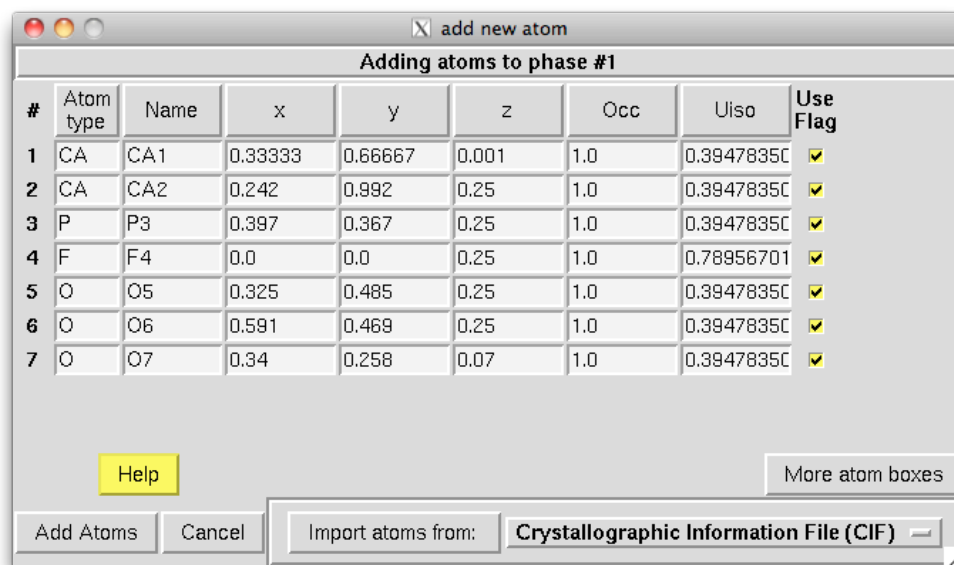
Continue Cancel Help

Import phase from: Crystallographic Information File (CIF)

Look this information over; it is not always correct – particularly check the space group (at least one space is needed here between the P and the 6, for example). Here all is OK so, press **Continue**. EXPGUI then shows the generated symmetry operations:



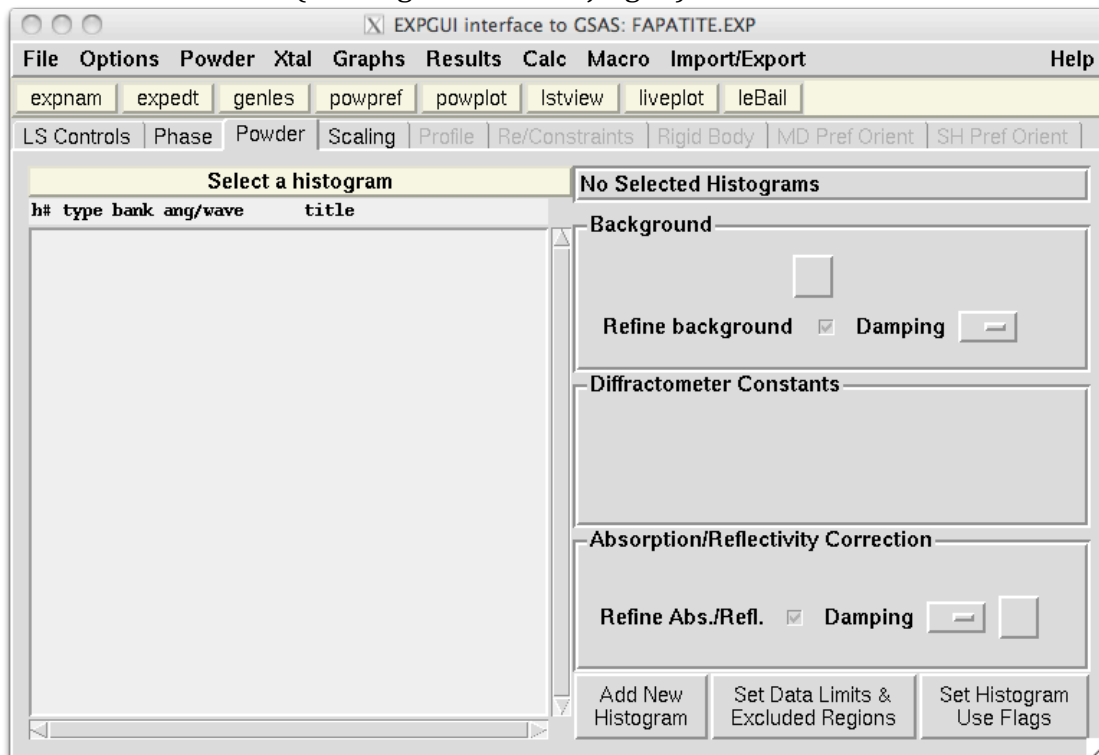
It is a good idea to check these against the International Tables Volume A. These are correct, so we press **Continue**. Next we need to add atoms. Since these were read from the CIF, EXPGUI now opens a new window where we can see the atoms read from the file:



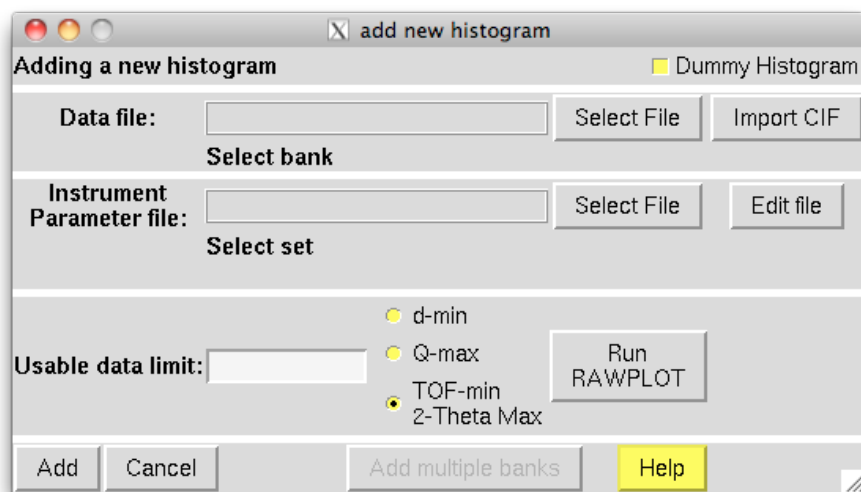
Note that these  $U_{iso}$  values are very large! This CIF must have them wrong. We can change them now by typing in values, but instead we will deal with this later. The input is otherwise OK, so press **Add Atoms** to continue. Now the **Phase** panel has been filled out with our first (and in this case, only) phase. Note we can now access the **Powder** panel.

### Step 3. Add a Powder Dataset to the Experiment

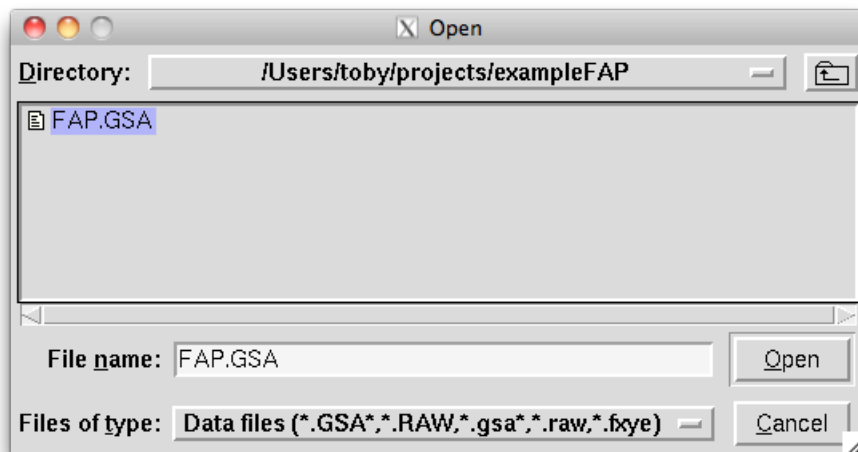
So lets do that, click on the **Powder** tab near the top to open the panel seen below. Now we will add data (a histogram in GSAS jargon).



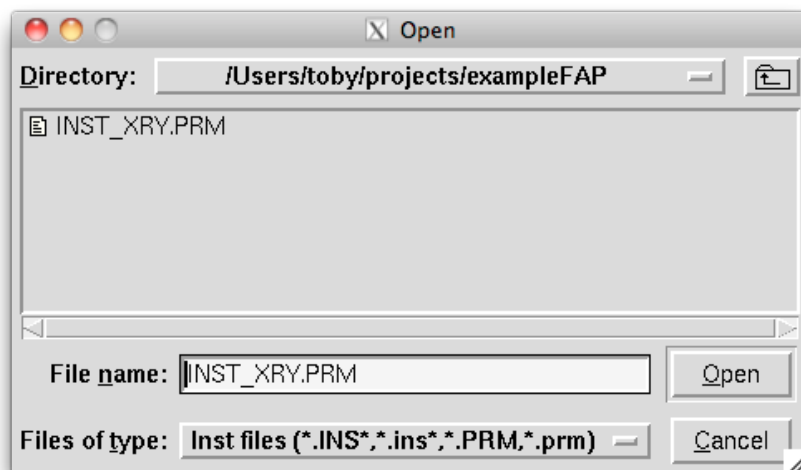
Press **Add New Histogram** button (at bottom). The window below opens. Here we will enter the data file name by pressing the *upper* **Select File** button



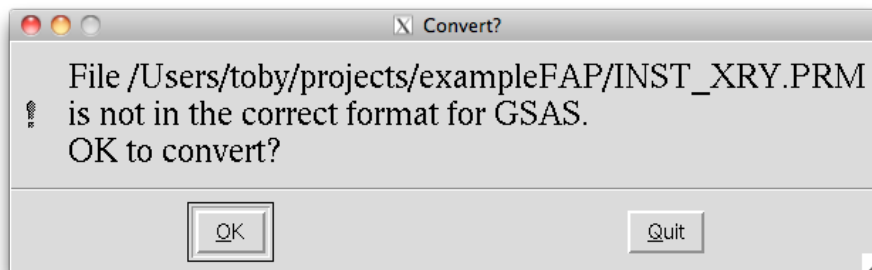
The file selection window opens. Select the FAP.GSA data file:



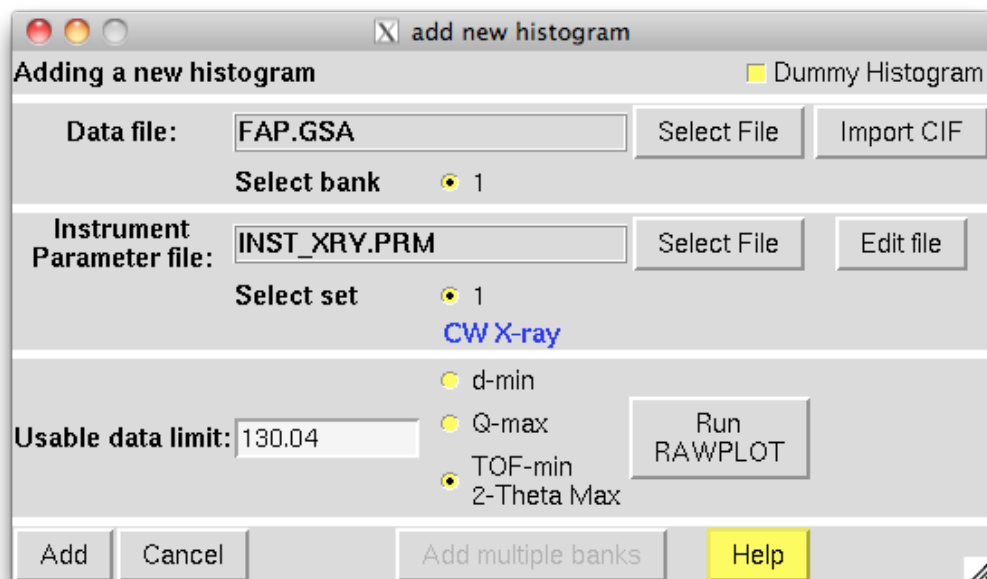
Then press **Open**. We now need an instrument parameter file to specify the data type, to provide default peak widths, etc. We will use a GSAS-distributed file for this. Press the *lower* **Select File** button and select file INST\_XRY.PRM



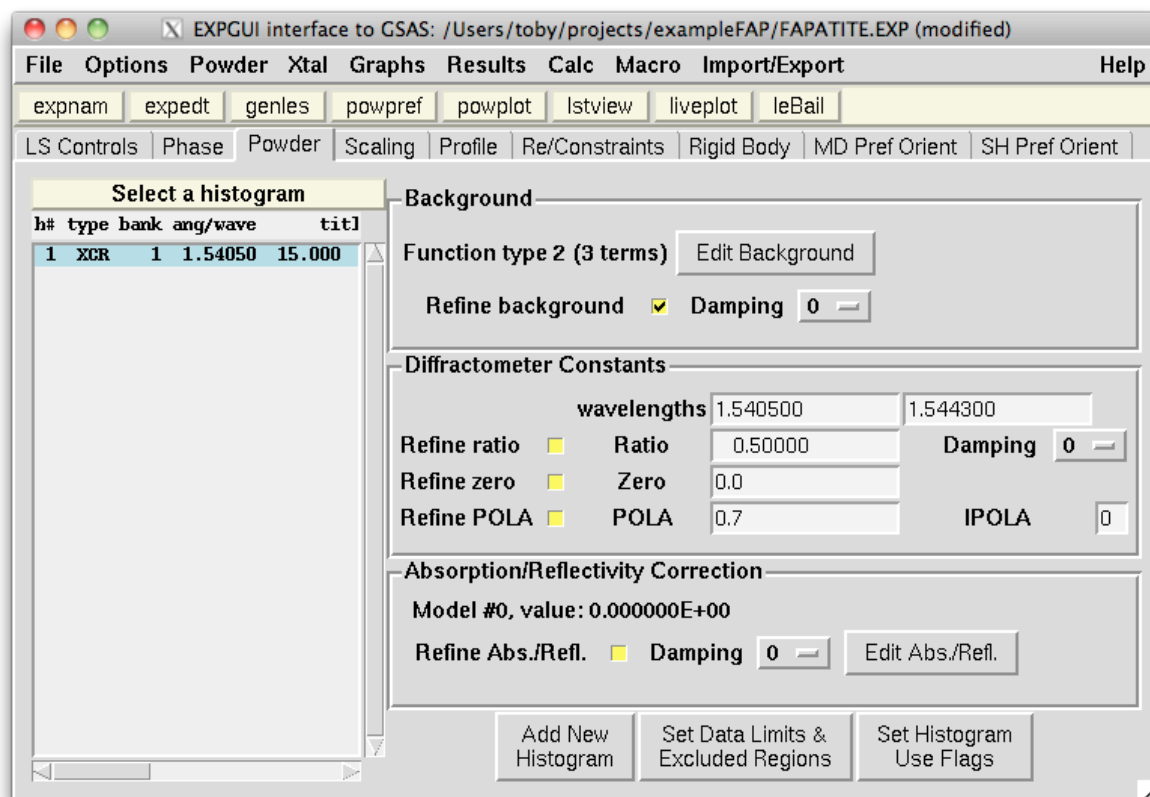
Then press **Open**. You may get a warning that you can ignore (just press OK):



The powder histogram information is now ready to be added to the experiment, but before we do that we might want to change the data limit to use less data. One can use RAWPLOT to look at the data, but here let's use all the data (to 130 degrees).



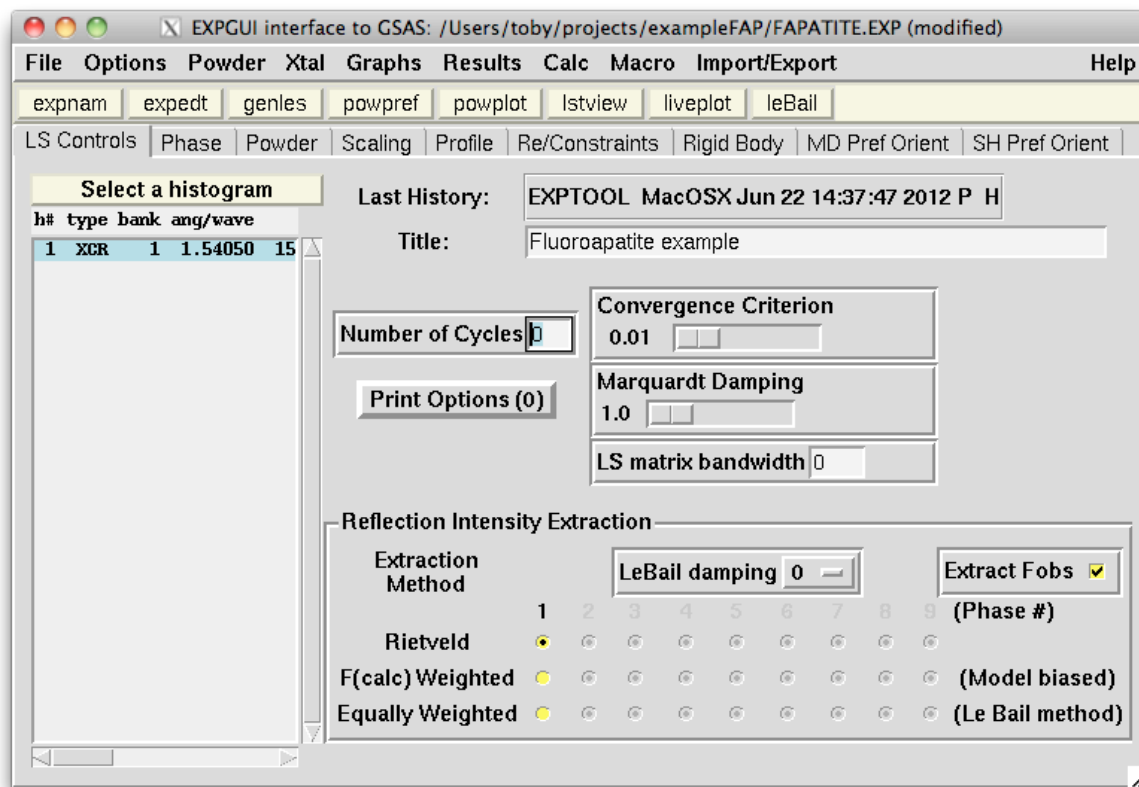
Press the **Add** button to add the data. The data are read into GSAS and the window is closed. We can now see that a data set is referenced in the **Powder** panel:



#### Step 4. Inspect the Computed Pattern

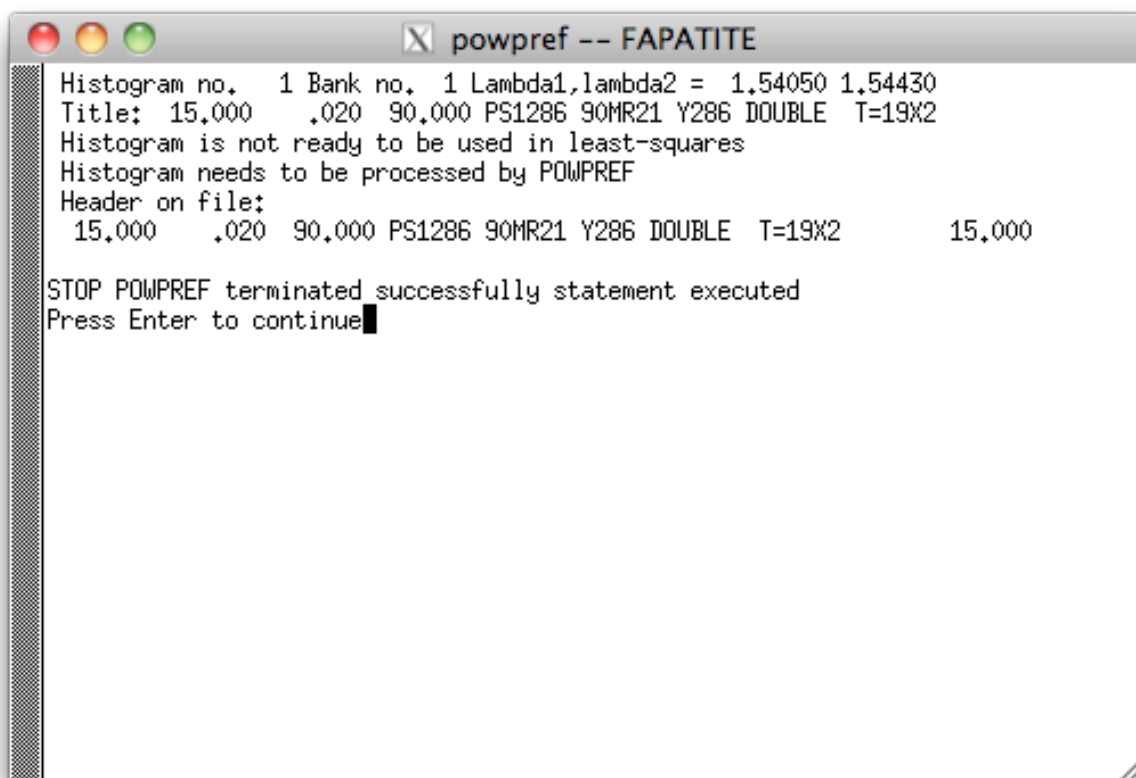
Before we do any refinements, we should see what the computed pattern from our input parameters looks like. To prevent changes being made to any refinable

parameters, go to the **LS Controls** panel and set the **Number of Cycles** to 0 (middle of panel):

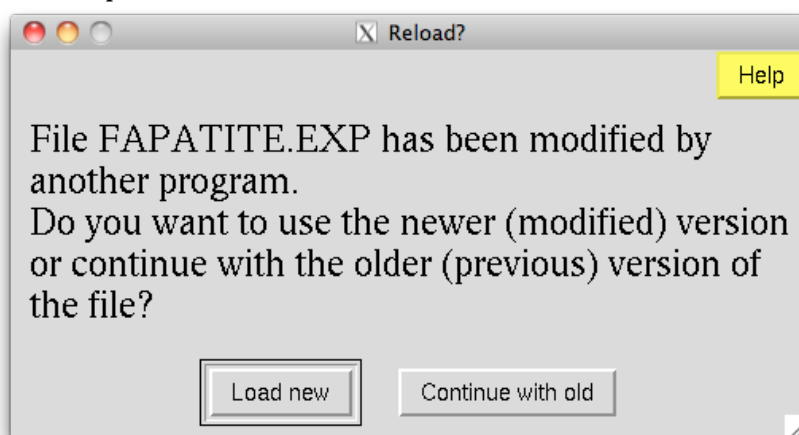


We now need to run POWPREF and GENLES. To start running the first, press the **powpref** button on the toolbar near the panel top (it can also be run from the item found on the Powder menu). A terminal window will pop up and show the following output:





Press Enter in that window to continue, which causes the EXPGUI window to be redisplayed. Running POWPREF makes some minor changes to the EXP file, which causes the window below to be displayed on top of the EXPGUI window. In this case, either answer works, since the changes are so minor, but to load the revised file into EXPGUI, press **Load New**, which is the usual action.



Then press the **genles** toolbar button to start that program. With 0 cycles, it will only compute the powder intensities, but not refine any parameters. The summary output from the program is shown below. The complete output is placed in the .LST file (which can be viewed with the **lstview** toolbar button – an optional exercise for the reader.)

```

genles -- FAPATITE

Restraint data statistics:
No restraints used

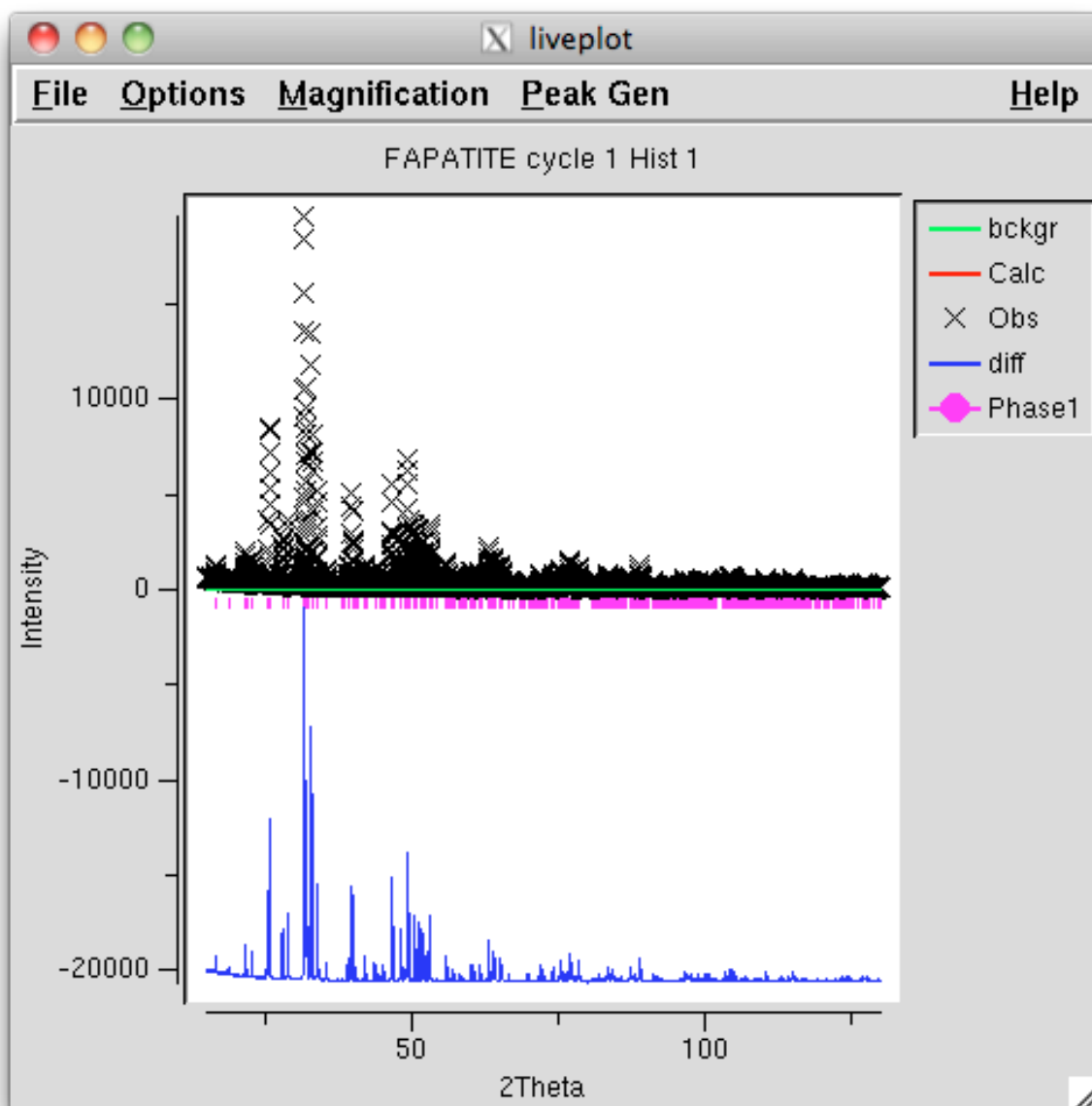
Powder data statistics
      Bank Ndata Sum(w*d**2)  Fitted  -Bknd  Average
      Hstgm 1 PXC 1 5751 1.81396E+06 0.9963 0.9963 0.9964 0.9963 0.017 1.000
Powder totals      5751 1.81396E+06 0.9963 0.9963 0.9964 0.9963 0.017
Cycle 1 There were 5751 observations.
Total before-cycle CHI**2 (offset/sig) = 1.8140E+06 ( 1.6866E+04)

Reduced CHI**2 = 315.6 for 4 variables
Histogram 1 Type PXC Nobs = 646 R(F**2) = 0.9997

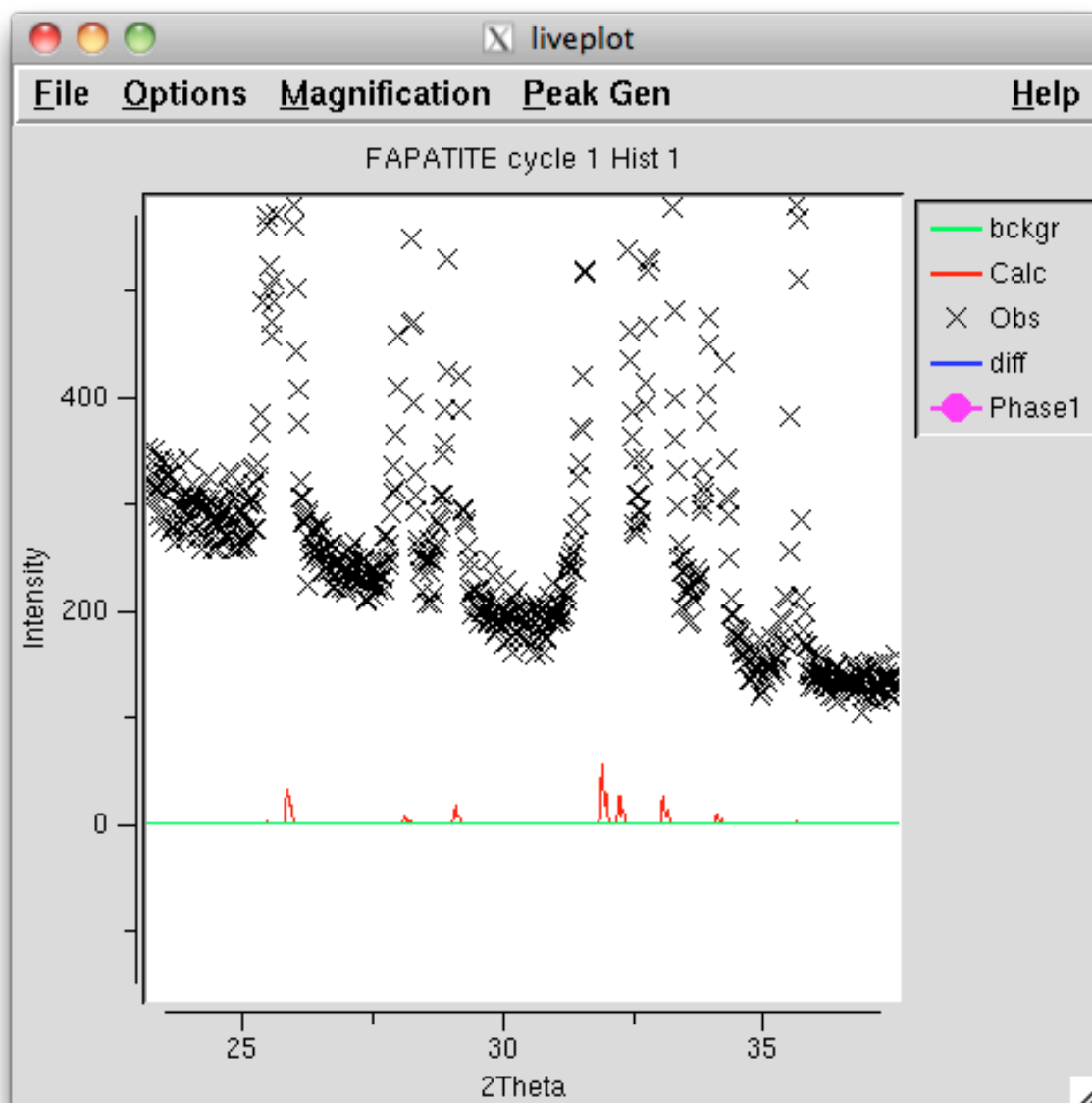
CPU times for matrix build 0.06 sec; matrix inversion 0.00 sec
Final variable sum((shift/esd)**2) for cycle 1: 0.00 Time: 0.06 sec
STOP GENLES terminated successfully statement executed
Press Enter to continue

```

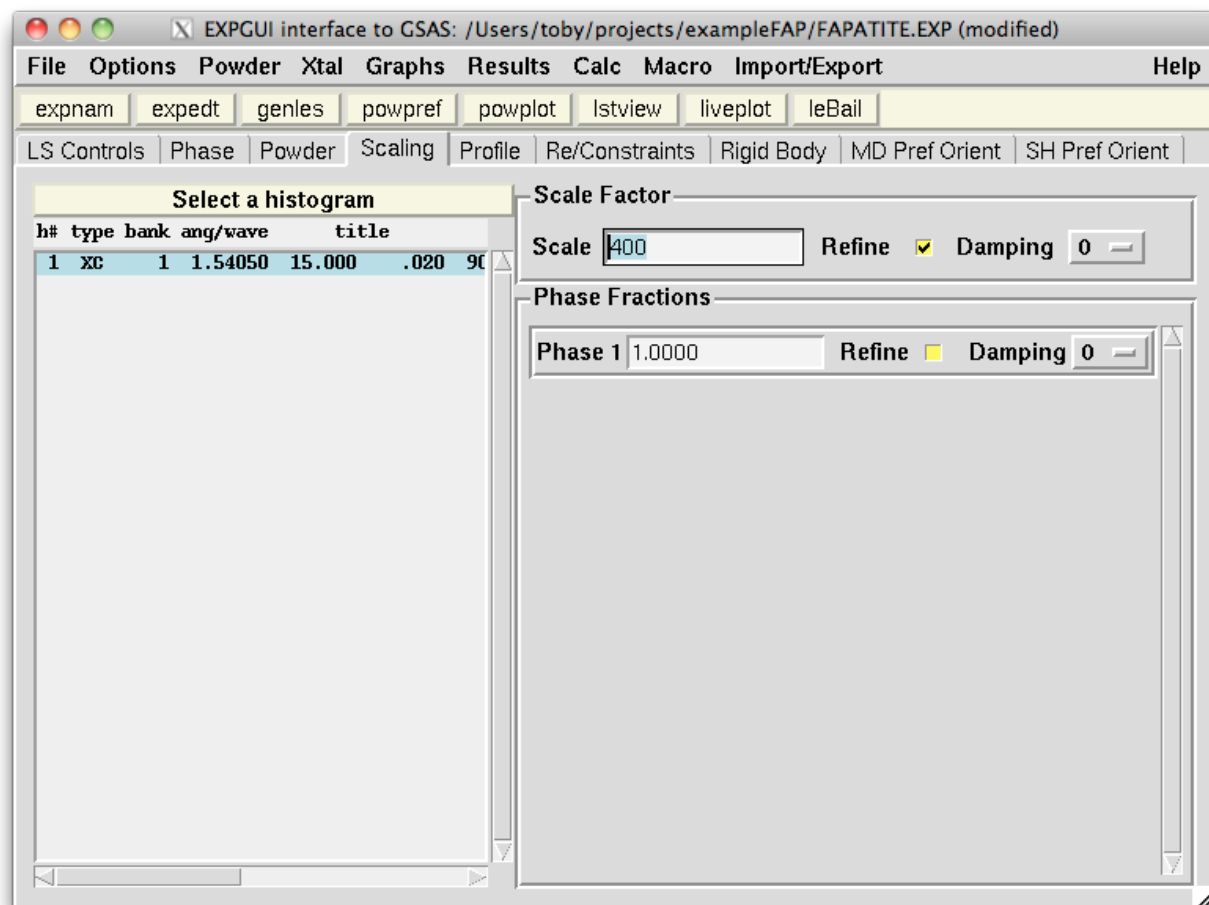
As before press Enter in the terminal window and then **Load New** in the next window. Now press the **liveplot** toolbar button to see a plot. We see the plotted data as below, but note there is no computed intensity (shown by a red line that is covered by the green line for background).



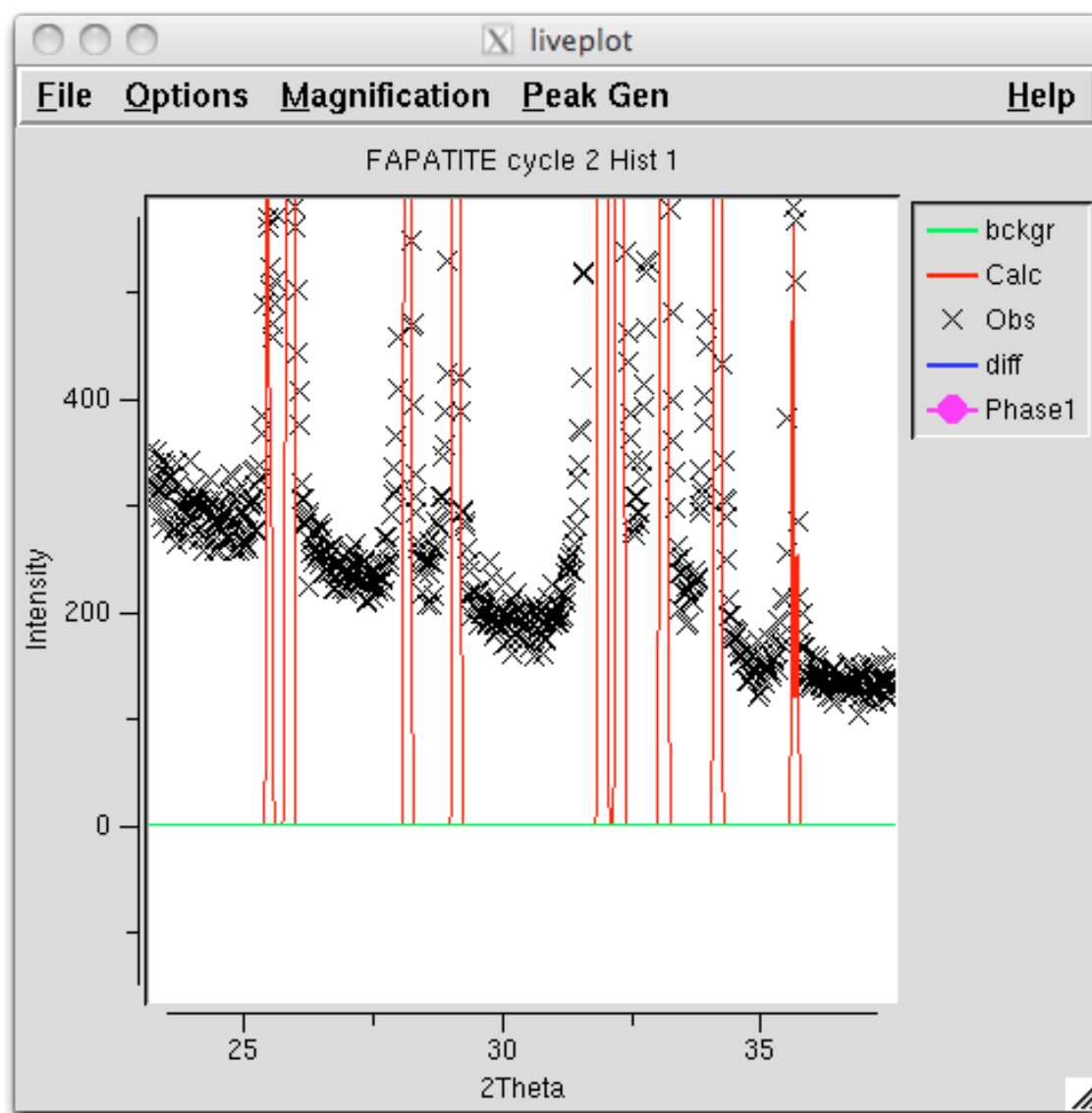
We can zoom in on a section of the pattern by dragging a box by pressing the left mouse button in one corner of the box and dragging the mouse to the opposite corner. (Click with the right mouse button to zoom out; on mac X11 settings determine what one needs to do to simulate this). If we zoom in enough, as below, we see that there are computed intensities, but they are very weak. The max is computed with perhaps 50 counts, while the raw data have 20,000 counts.



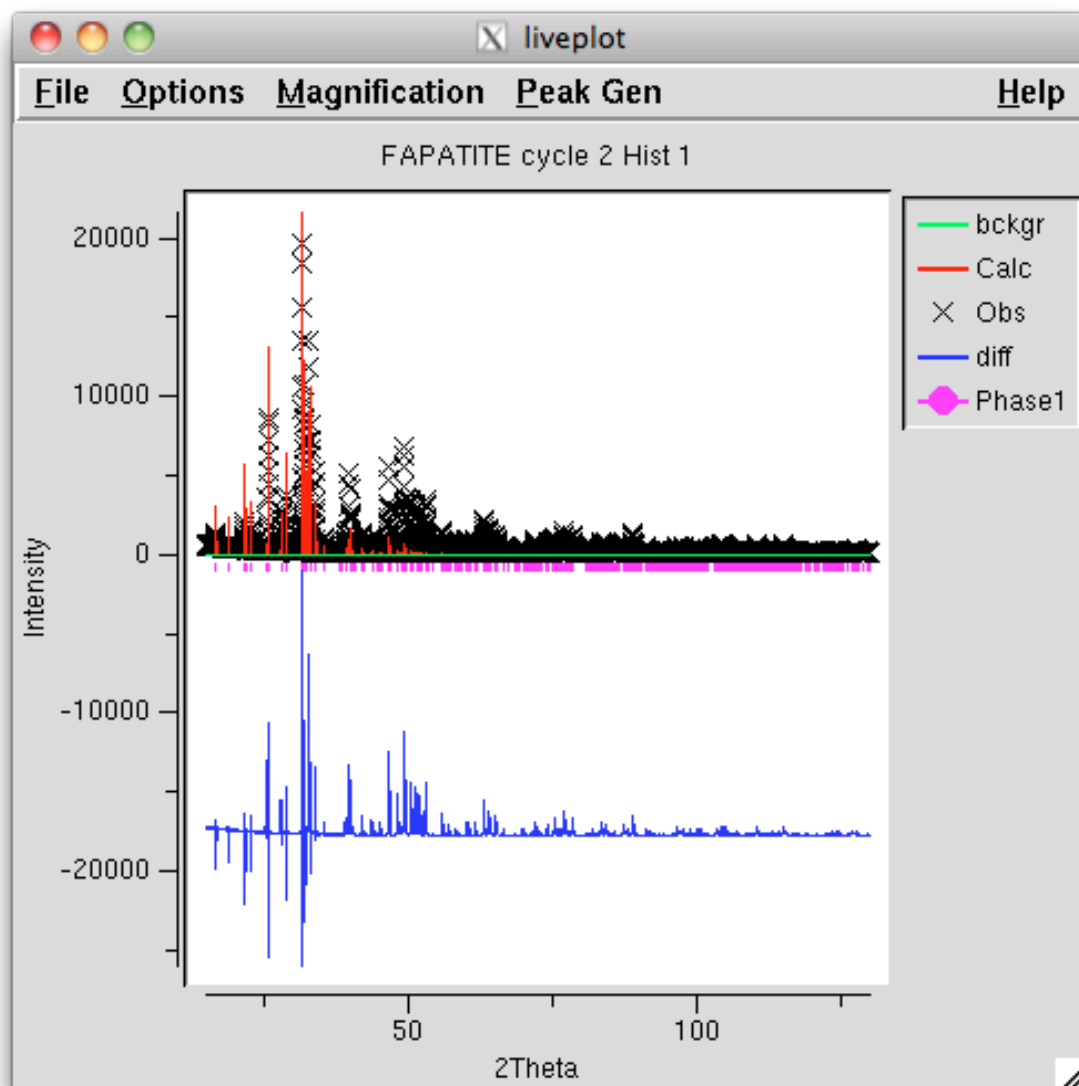
While we could try to refine the scale factor the fit is so poor this might not work if any other variables are included. Instead, let's instead manually change the scale factor to be a factor of 400 (20,000/50) larger. On the **Scaling** panel we see the **Scale Factor** is currently 1, so we set it to 400, as below:



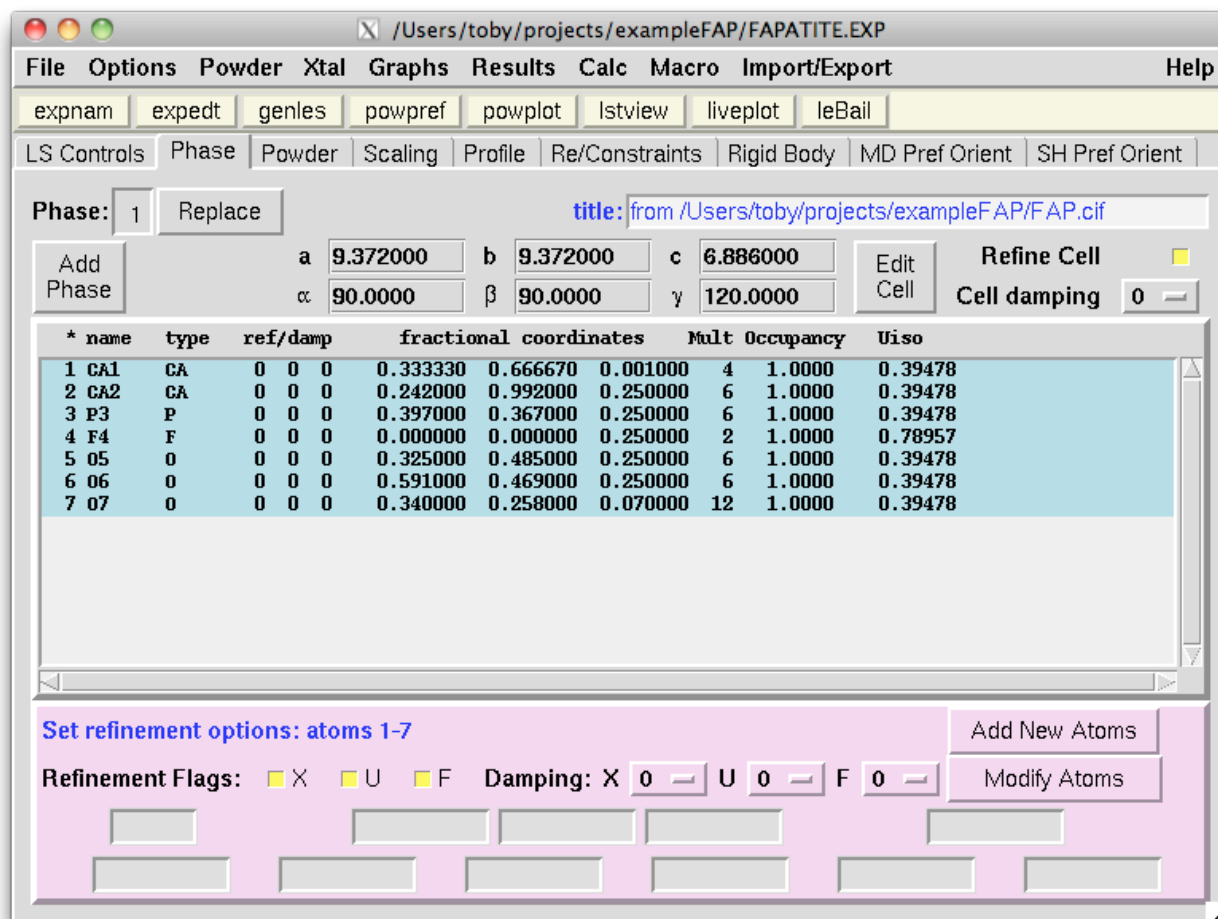
We then rerun GENLES by pressing the **genes** button, we press Enter and **Load New** as before. The Liveplot window automatically updates to show us the new plot as below:



Or when we zoom out:




Note that observed and computed peaks are more or less lining up. This means the unit cell parameters are close enough to start with. Note however that the computed intensities fall off way too fast. This is due to the  $U_{iso}$  values we input being too high as was noted before. Lets change them. Select the **Phase** panel, then drag the mouse to select all input atoms as below:



Press **Modify Atoms** button near the bottom of the **Phase** panel. Let's put in a quite small value for  $U_{iso}$  of 0.01. Do this by entering 0.01 for the **Uiso** or **Uequiv** value in the new window.




 Edit Atomic Parameter -- phase #1

**Modifying atoms 1-7 Phase 1**

**Modify coordinates**

new x =  x +  y +  z +   
 new y =  x +  y +  z +   
 new z =  x +  y +  z +

**Modify occupancies**

Occupancy:

**Modify Displacement Parameters**

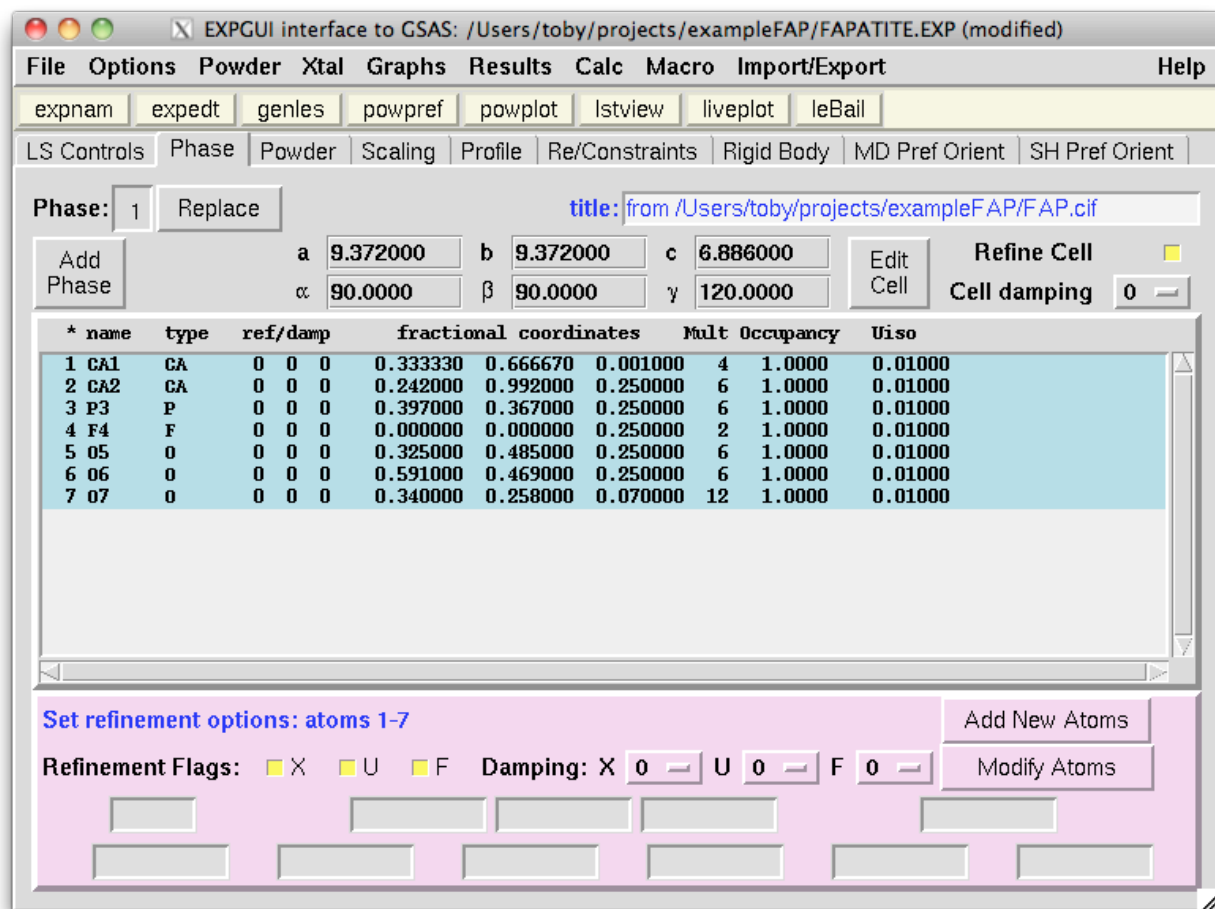
Uiso or Uequiv:

**Erase Atoms**

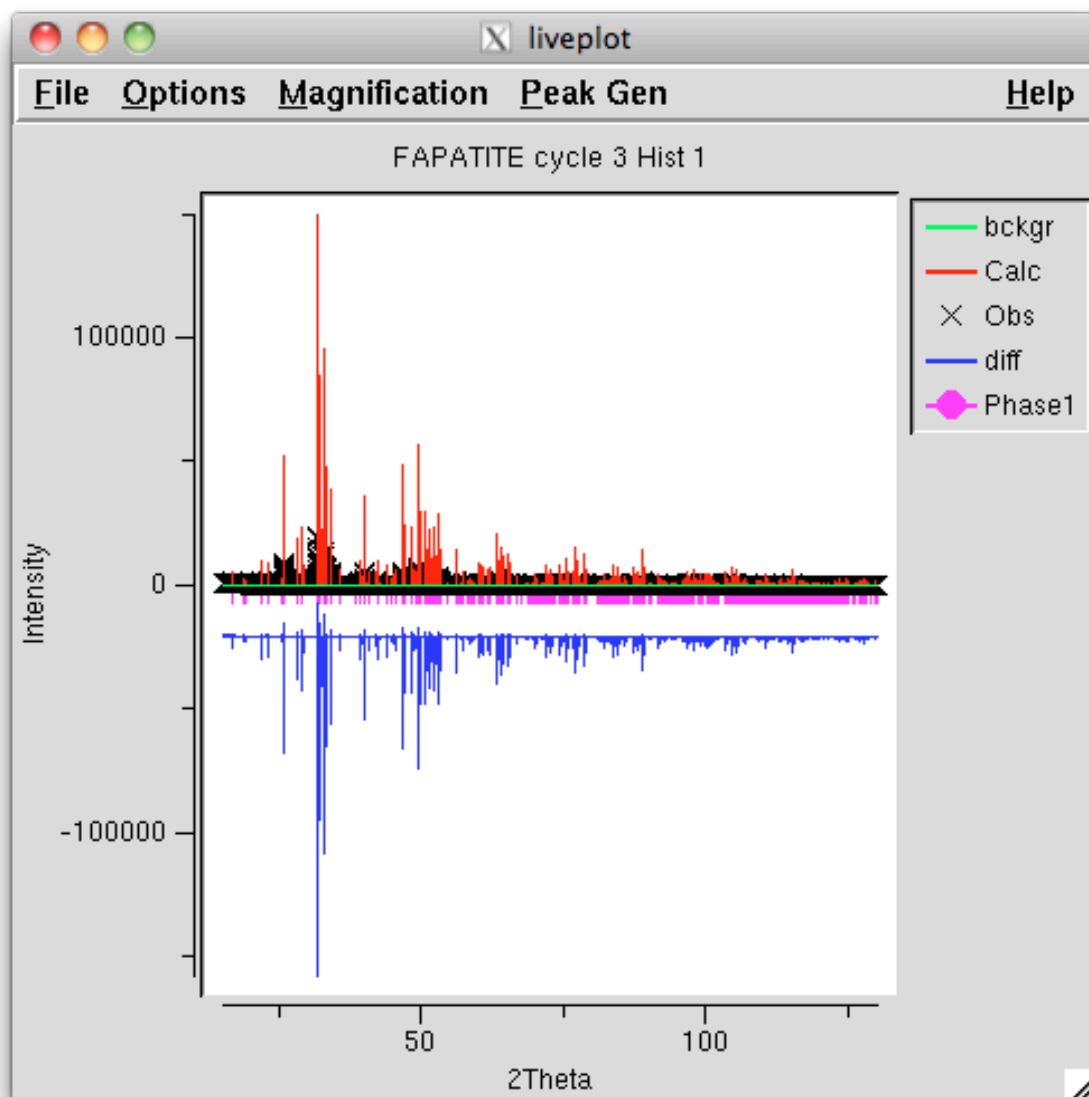
**Fix Atoms Coordinates**

x	y	z
<input type="button" value="unfixed"/>	<input type="button" value="unfixed"/>	<input type="button" value="unfixed"/>

Press **Set U** button just below and then press the **Close** button. Note the  $U_{\text{iso}}$  values are now changed on the **Phase** panel:

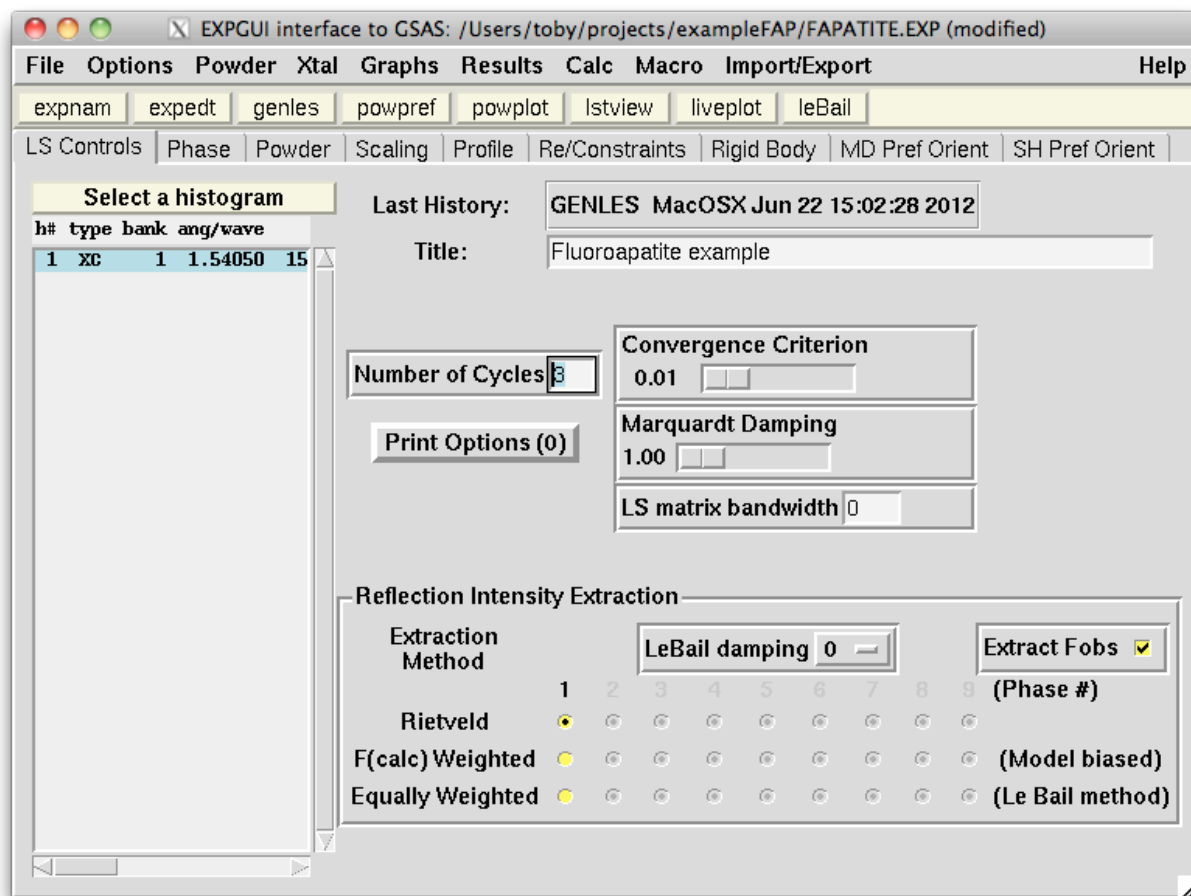


To see the effect on the intensities, recompute them using GENLES, as before (press the **genes** button...). Liveplot now shows much better agreement with intensities, though now our scale factor is now too big.

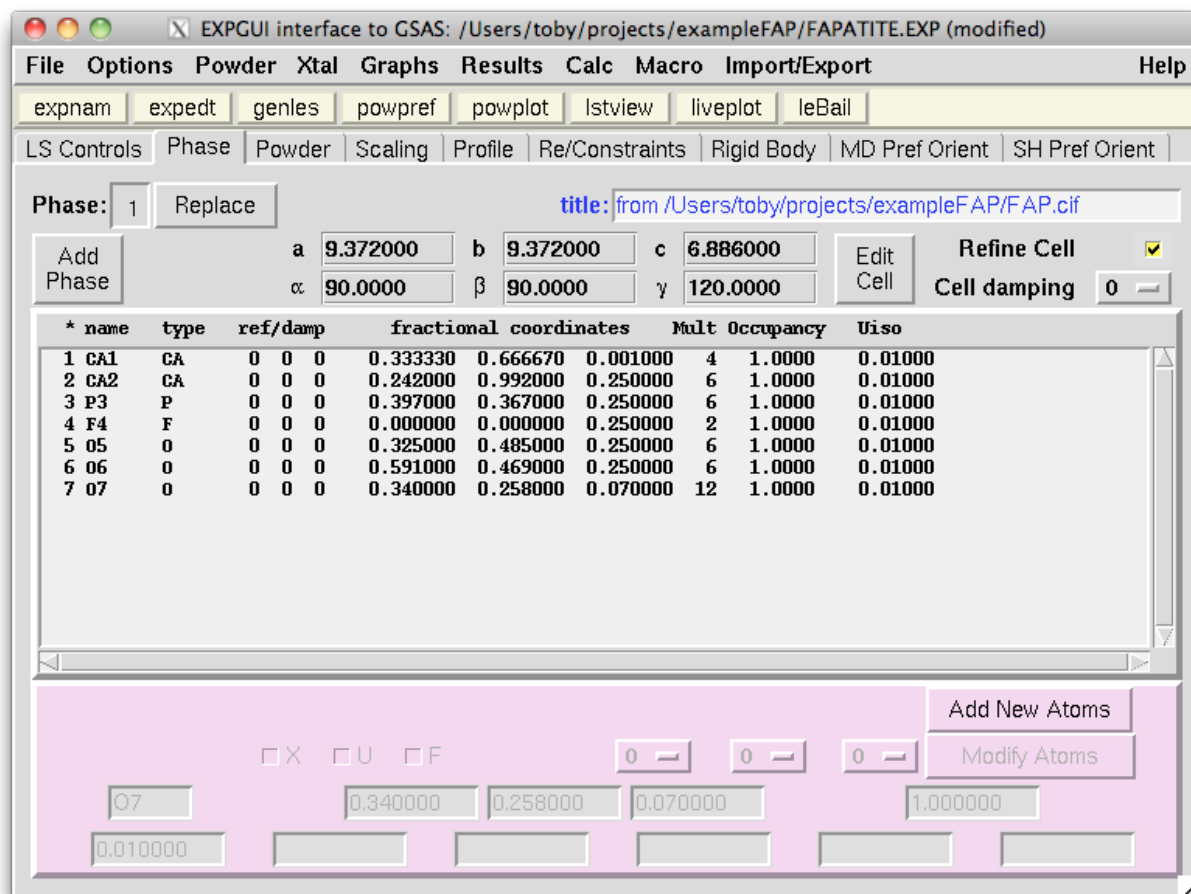


### Step 5. Start Refining Parameters

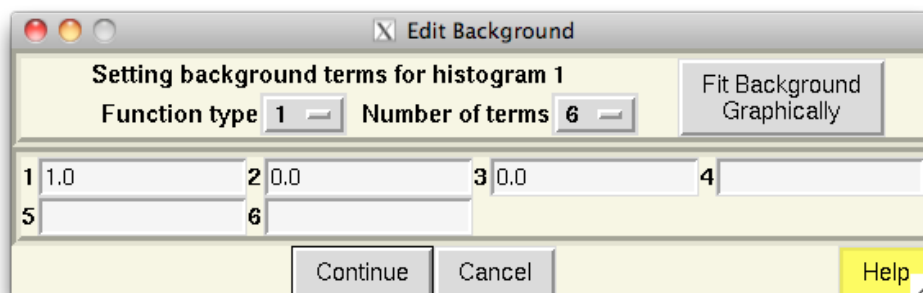
The agreement between observed and computed parameters is now good enough that we can start refining the model to better fit the data. First change the **Number of Cycles** to 3, on the **LS Controls** panel as below, so that GENLES will optimize selected parameters when it is run.



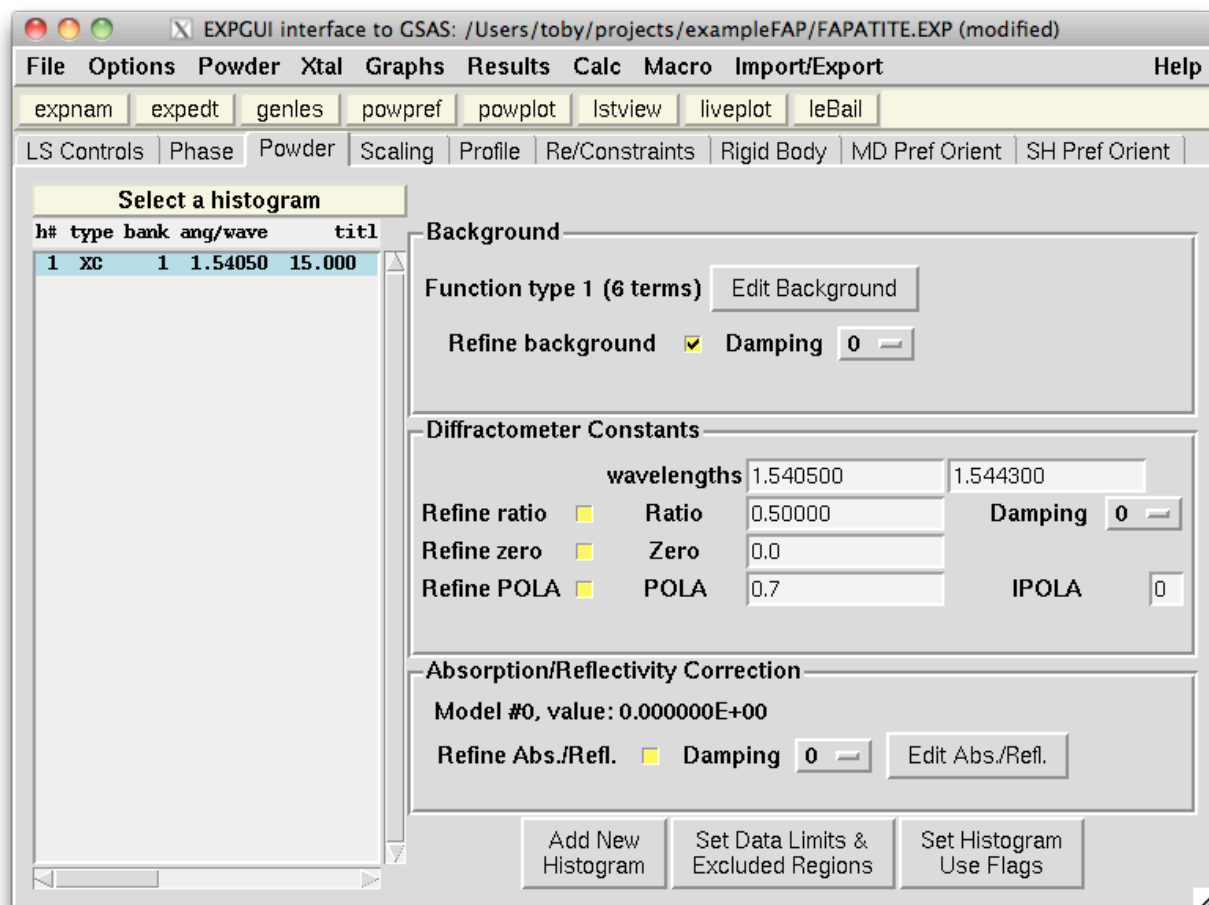
Select the **Refine Cell** checkbox on the **Phase** panel, as below, so that pair of parameters ( $a=b$  and  $c$ ) can be refined.



My preference is to use the simplest background function, which is type 1 and start with a small number of background parameters. On the **Powder** panel, change the background to type 1 with six parameters by pressing the **Edit Background** button (in background box near top-middle). This opens the Edit Background window shown below. On it select **Function Type** from the drop down list as 1, and the **Number of Terms** as 6.



Then press the **Continue** button at the bottom. This closes the Edit Background window. On the **Powder** panel, confirm that **Refine background** checkbox is selected so that the 6 background terms will be refined.



Now let's refine by pressing the **genles** button. The output from GENLES, shown below as with the beginning and end in different windows shows the  $\chi^2$  dropping from 6813 to 74. This is a huge improvement in the fit achieved by optimizing 9 variables, as noted on the output. The 9 variables are the 2 cell parameters, 1 scale factor and 6 background values.

```

genles -- FAPATITE

Restraint data statistics:
No restraints used

Powder data statistics
      Bank Ndata Sum(w*d**2) Fitted      -Bknd      Average
      Hstgm 1 PXC 1 5751 3.91213E+07 4.6269 2.8865 4.6352 2.8899 0.397 1.000
Powder totals      5751 3.91213E+07 4.6269 2.8865 4.6352 2.8899 0.397
Cycle 4 There were 5751 observations.
Total before-cycle CHI**2 (offset/sig) = 3.9121E+07 ( 3.6501E+05)

Reduced CHI**2 = 6813.      for 9 variables
Histogram 1 Type PXC Nobs = 646 R(F**2) = 2.9591

CPU times for matrix build 0.06 sec; matrix inversion 0.00 sec
Final variable sum((shift/esd)**2) for cycle 4: 5421.44 Time: 0.06 sec

Restraint data statistics:
No restraints used

Powder data statistics
      Bank Ndata Sum(w*d**2) Fitted      -Bknd      Average
      Hstgm 1 PXC 1 5751 4.86594E+05 0.5160 0.4190 0.6357 0.5303 0.379 1.000
Powder totals      5751 4.86594E+05 0.5160 0.4190 0.6357 0.5303 0.379

```

```

genles -- FAPATITE

Reduced CHI**2 = 84.74      for 9 variables
Histogram 1 Type PXC Nobs = 646 R(F**2) = 0.4534

CPU times for matrix build 0.06 sec; matrix inversion 0.00 sec
Final variable sum((shift/esd)**2) for cycle 5: 250.52 Time: 0.06 sec

Restraint data statistics:
No restraints used

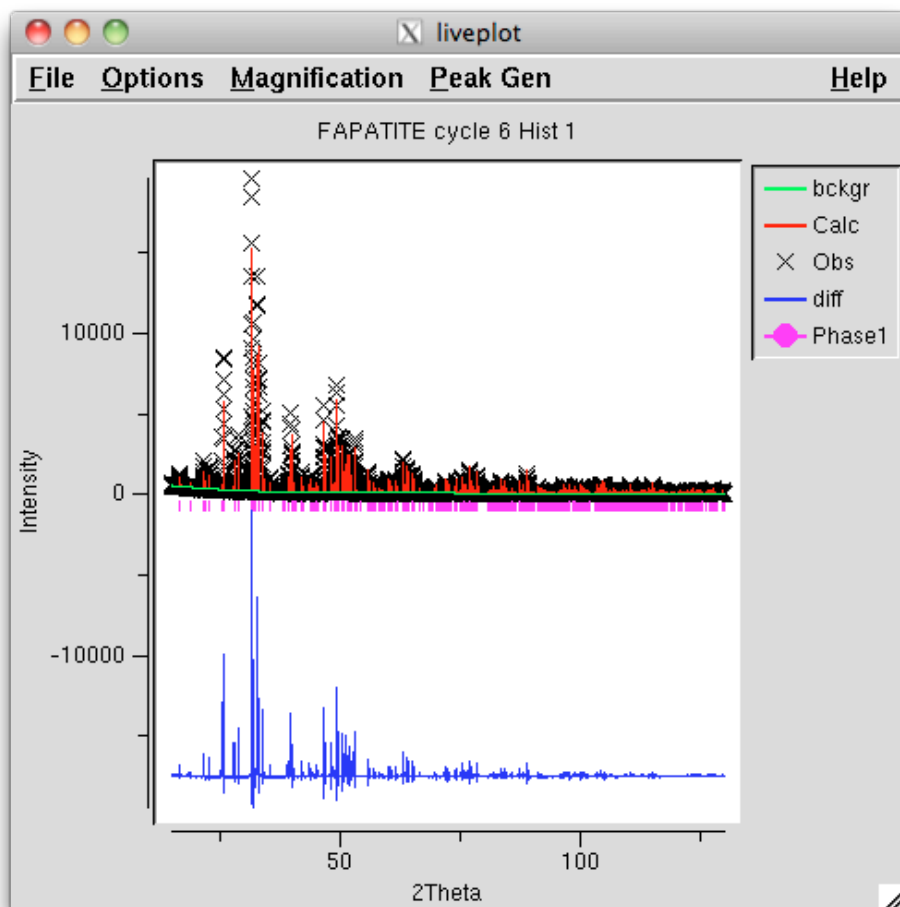
Powder data statistics
      Bank Ndata Sum(w*d**2) Fitted      -Bknd      Average
      Hstgm 1 PXC 1 5751 4.25375E+05 0.4825 0.3839 0.5928 0.4812 0.339 1.000
Powder totals      5751 4.25375E+05 0.4825 0.3839 0.5928 0.4812 0.339
Cycle 6 There were 5751 observations.
Total before-cycle CHI**2 (offset/sig) = 4.2537E+05 ( 3.9158E+03)

Reduced CHI**2 = 74.08      for 9 variables
Histogram 1 Type PXC Nobs = 646 R(F**2) = 0.4432

CPU times for matrix build 0.06 sec; matrix inversion 0.00 sec
Final variable sum((shift/esd)**2) for cycle 6: 246.65 Time: 0.06 sec
STOP GENLES terminated successfully statement executed
Press Enter to continue

```

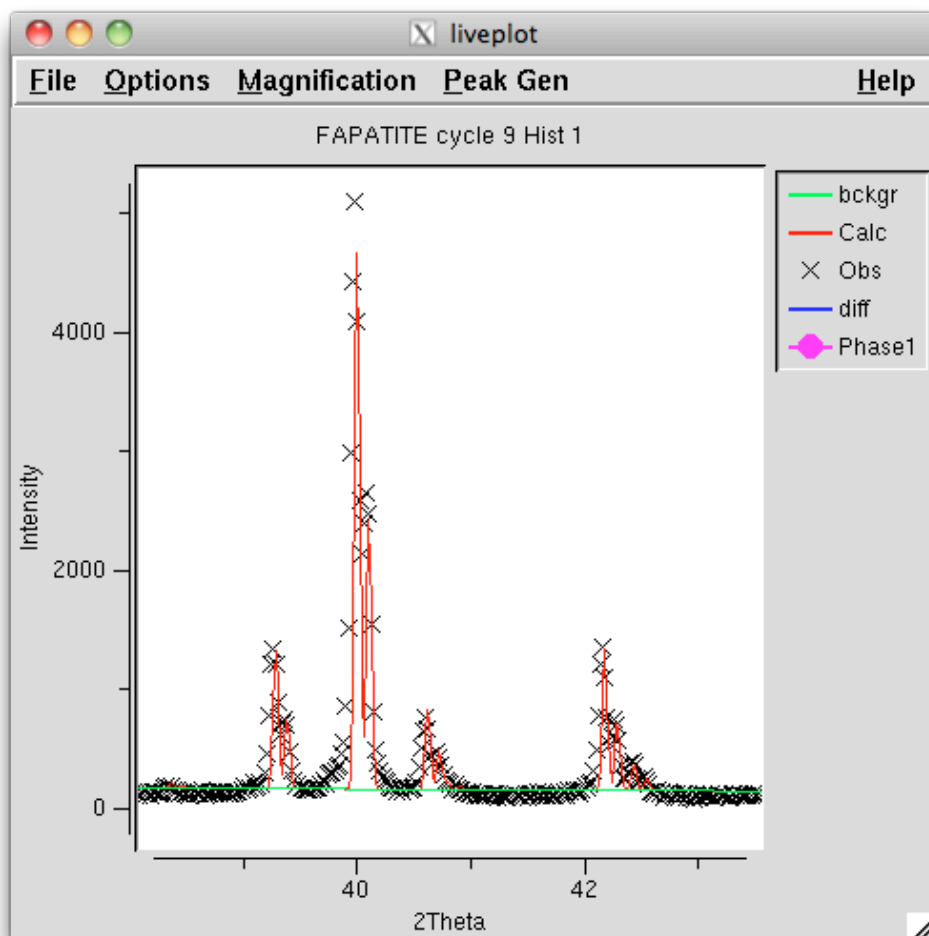
Liveplot will also show the fit looks better, as below.



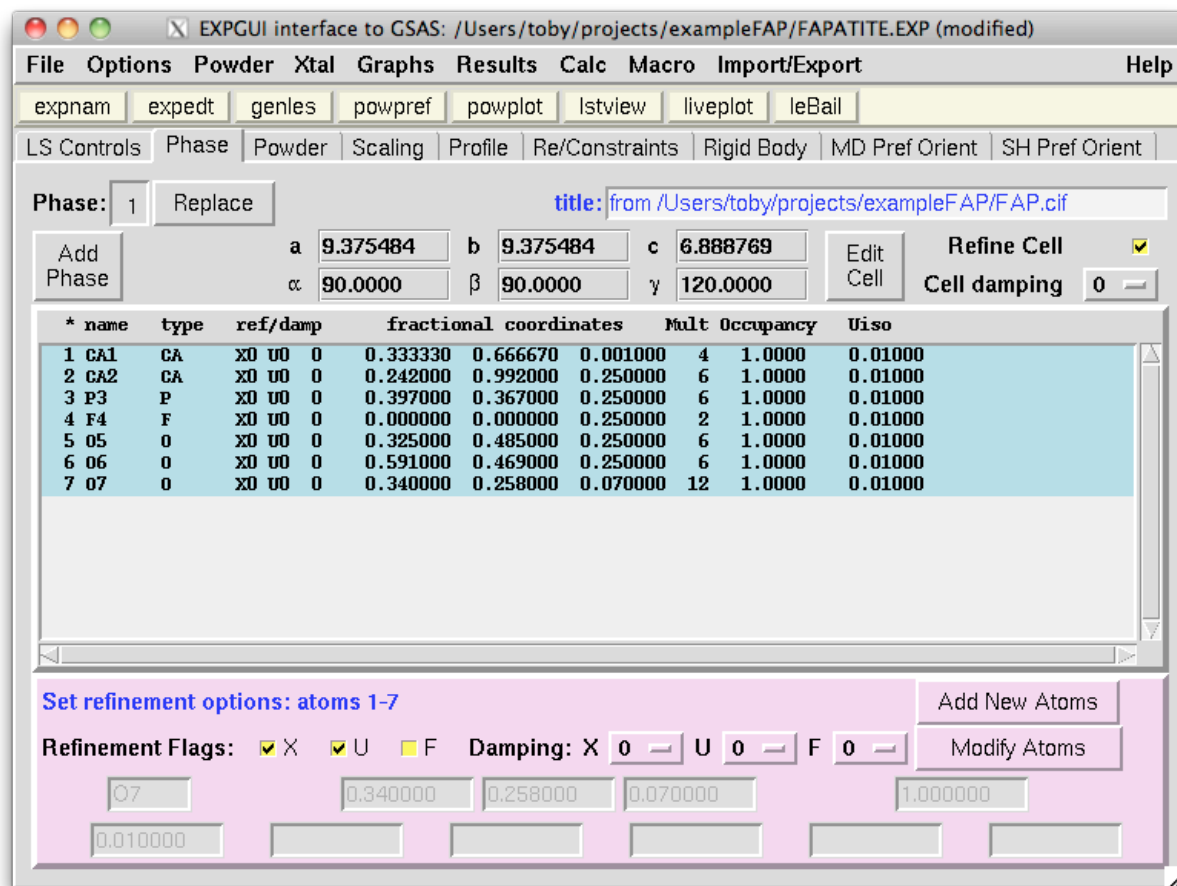
Since the cell dimensions have changed a fair amount, it is a good idea to rerun powpref and genles again. After that  $\chi^2$  drops to 61.

The question then becomes, what aspect of the fit is causing the biggest contribution to the differences between the observed and computed pattern. Zooming in on the pattern in liveplot shows the match is not too bad. The peak shape is a bit narrow, but does not seem like the major problem, see below.



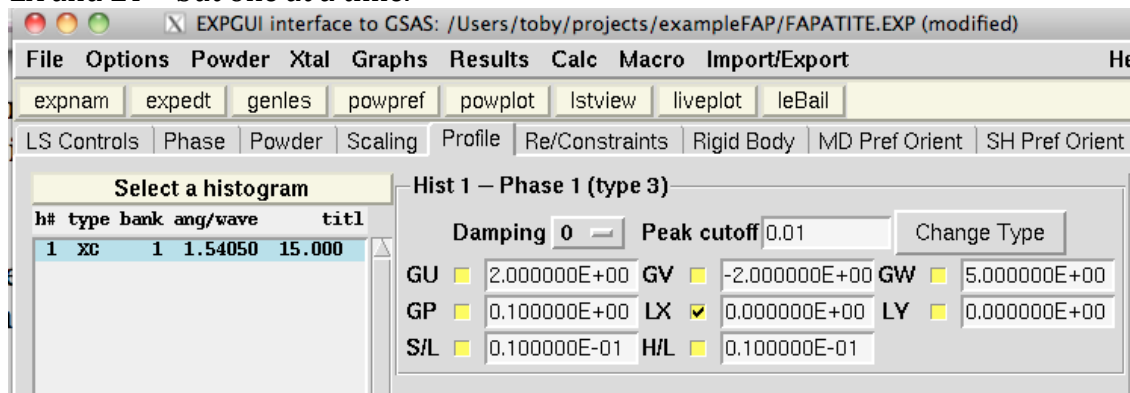


However, clearly there are some significant differences in intensities, so perhaps refining structural parameters might help. To do this, select to refine the atom positions (as allowed by symmetry) and  $U_{iso}$  values by going to the **Phase** panel and selecting all atoms, as before, but this time click on the **X** and **F** checkboxes (at the bottom left of the panel). The X option refines all coordinates that are not constrained by symmetry; the U option varies  $U_{iso}$  values (and  $U_{ij}$  as allowed by symmetry for anisotropic atoms). This is shown below. Note that if this structure were a bit more complex, we might have initially grouped some  $U_{iso}$  values or only varied some of the heavy atoms, but 7 atoms, some on special positions is not that complex and these data go to quite high angle for lab data.



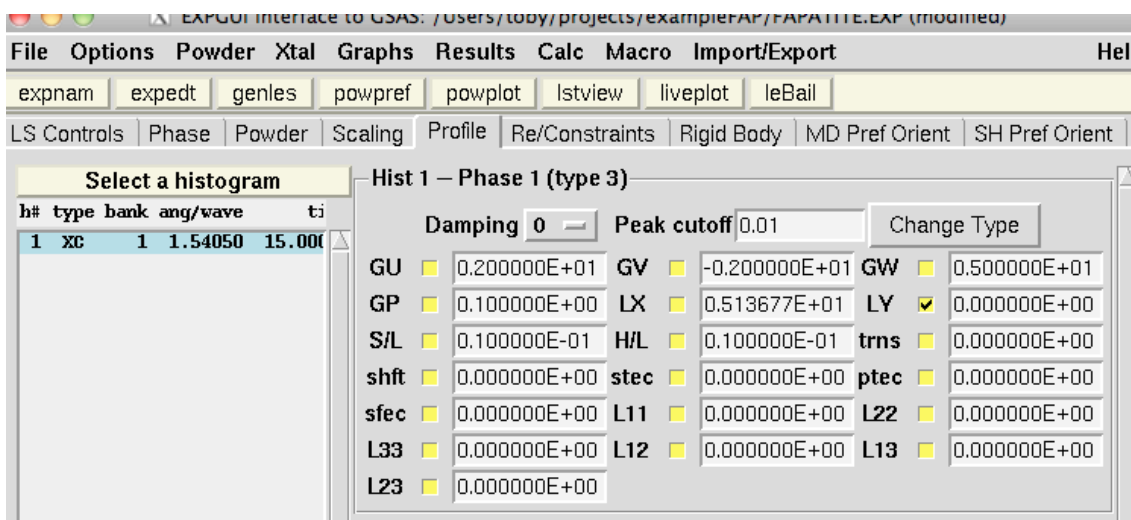
Press **genles** to refine. The agreement improves only a little bit, so this was not the biggest problem.

Now let's improve the peak shapes. The initial profile is not fitting the tails of the pattern well (see the liveplot example above), so let's refine the two Lorentzian terms LX and LY – but one at a time.



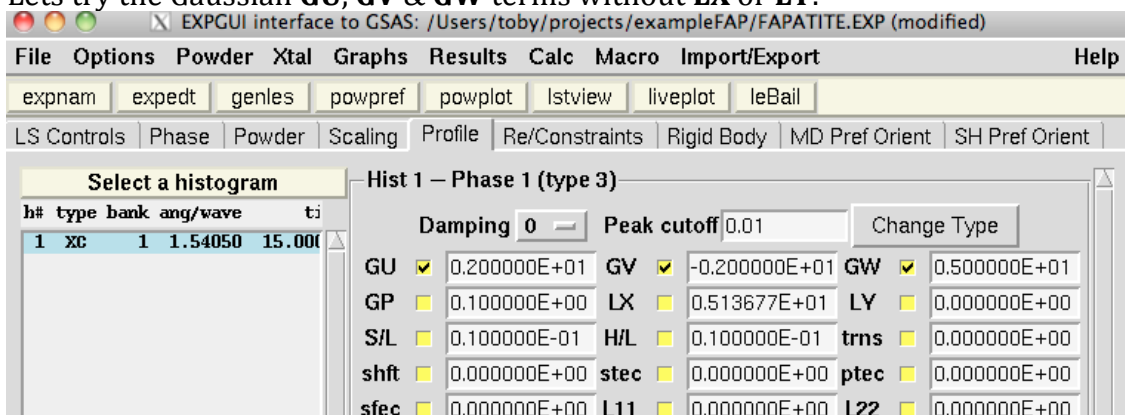
Click on **LX** on the **Profile** panel and then run GENLES using the **genles** button.  $\chi^2$  drops to 29. That clearly mattered

Try the same with **LY** turning **LX** off.

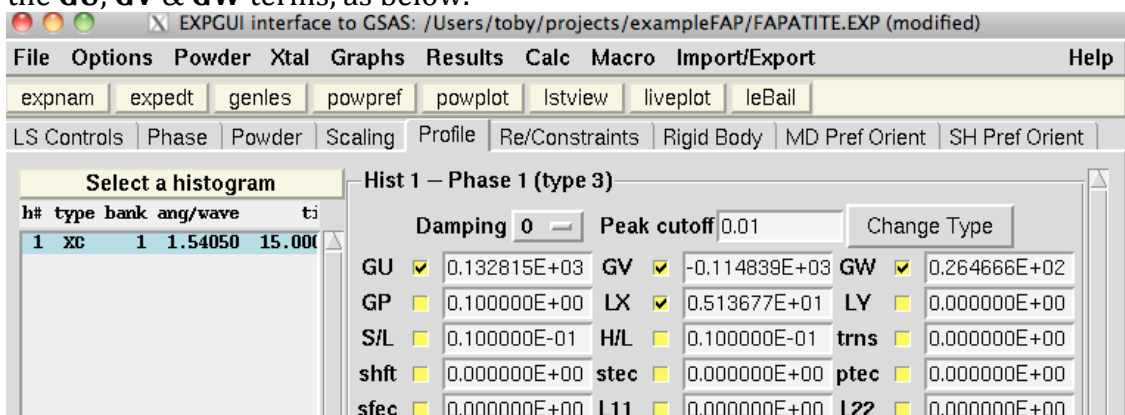


Run GENLES, but note that  $\chi^2$  barely drops, so we do not need this parameter. We can reject the change by pressing **Continue with Old** on the reload window.

Lets try the Gaussian **GU, GV & GW** terms without **LX** or **LY**:

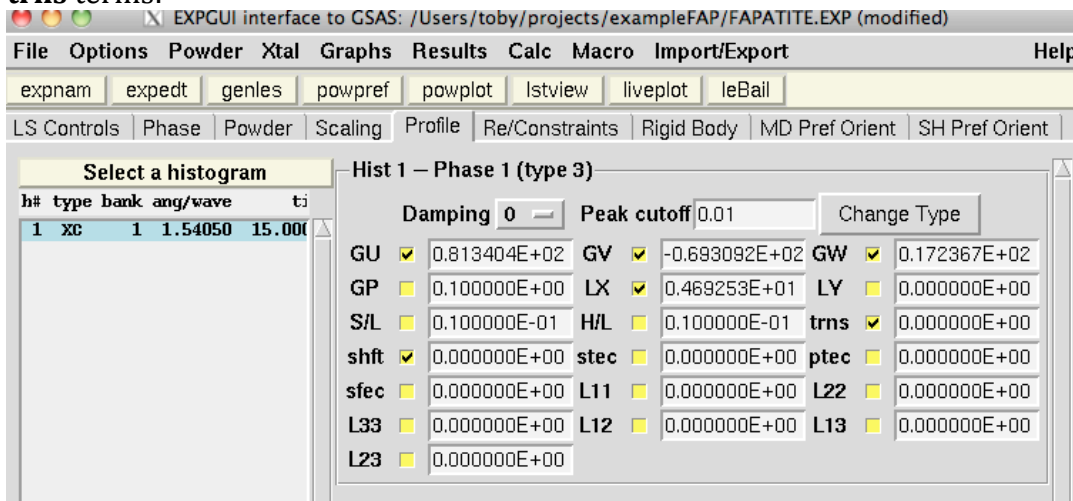


Run GENLES, but  $\chi^2$  barely drops just a bit, to 26. Now we can try **LX** together with the **GU, GV & GW** terms, as below.



Since the peak shape has been changed a fair amount, this time let's run POWPREF and then GENLES.  $\chi^2$  drops quite a bit, to 17. So it is a good idea to run POWPREF and then GENLES just to confirm that not much changes.

Since this is Bragg-Brentano (lab) data, let's correct for sample shift and transparency (since the sample is not that absorbing). Turn on the profile **shft** and **trns** terms:



When we run GENLES the  $\chi^2$  drops quite a bit, to 5. Again since peaks have moved, let's run POWPREF and then GENLES again.  $\chi^2$  drops even more, to 3.26. Repeating that again causes no improvement.

Note that the **trns** parameter turns out to be quite close to 0 (within a bit more than one sigma, so trns is not too important). This large drop in  $\chi^2$  implies that the **shft** parameter was causing large deviations between the observed and computed patterns in the fit once we had gotten the  $\chi^2$  around 60. In retrospect, it might have been a good idea to introduce **shft** into the refinement at that point rather than this late in the process.

## Step 6. Finishing Up the Refinement

To paraphrase Peter Stephens, we will never finish the refinement, since there are always more options and programs we can explore to try to improve the fit. But there are a few more likely places that we should look for additional parameters that would bring the  $\chi^2$  closer to the ideal (1.0).

One is the two asymmetry values (**S/L** and **H/L**) depend on the instrument configuration. There is no reason to believe that the default values (0.01) in this instrument parameter file will be correct. However, GSAS does not refine these parameters very well. We don't know the size of the sample and slits used for the measurement, but we can try changing them manually. If they are both set to 0.012, as below, the  $\chi^2$  drops a little bit, to 3.246.

Hist 1 – Phase 1 (type 3)

Damping  Peak cutoff

GU	<input checked="" type="checkbox"/>	0.251412E+01	GV	<input checked="" type="checkbox"/>	0.116378E+01	GW	<input checked="" type="checkbox"/>	0.290763E+01
GP	<input type="checkbox"/>	0.100000E+00	LX	<input checked="" type="checkbox"/>	0.449173E+01	LY	<input type="checkbox"/>	0.000000E+00
S/L	<input type="checkbox"/>	0.120000E-01	H/L	<input type="checkbox"/>	0.120000E-01	trns	<input checked="" type="checkbox"/>	0.704419E+00
shft	<input checked="" type="checkbox"/>	0.321718E+01	stec	<input type="checkbox"/>	0.000000E+00	ptec	<input type="checkbox"/>	0.000000E+00

If we move that a bit more, to 0.015, the  $\chi^2$  increases to 3.57. Setting **S/L** and **H/L** to 0.013 or 0.011 are also not better than 0.012, so that is about the best we can do with those parameters.

Preferred orientation is always something that should be considered. We can test for this quickly using the **SH Pref Orient** (spherical harmonics preferred orientation) panel. When first selected there are no terms to refine, as shown below:

EXPGUI interface to GSAS: FAPATITE.EXP

File Options Powder Xtal Graphs Results Calc Macro Import/Export Help

expnam expdet genles powpref powplot lstview liveplot leBail

LS Controls Phase Powder Scaling Profile Re/Constraints Rigid Body MD Pref Orient SH Pref Orient

Spherical Harmonic (ODF) Preferential Orientation

Phase:  title:

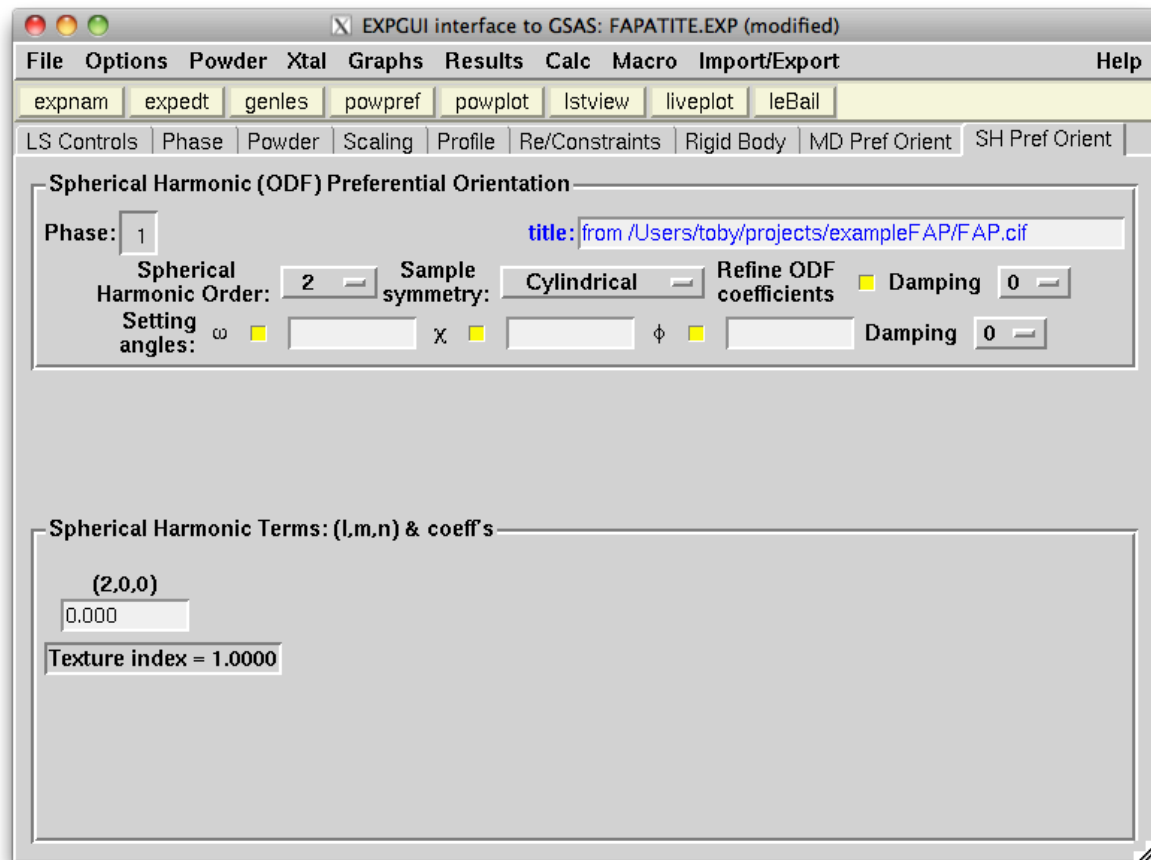
Spherical Harmonic Order:  Sample symmetry:  Refine ODF coefficients ☐ Damping

Setting angles:  $\omega$    $\chi$    $\phi$   Damping

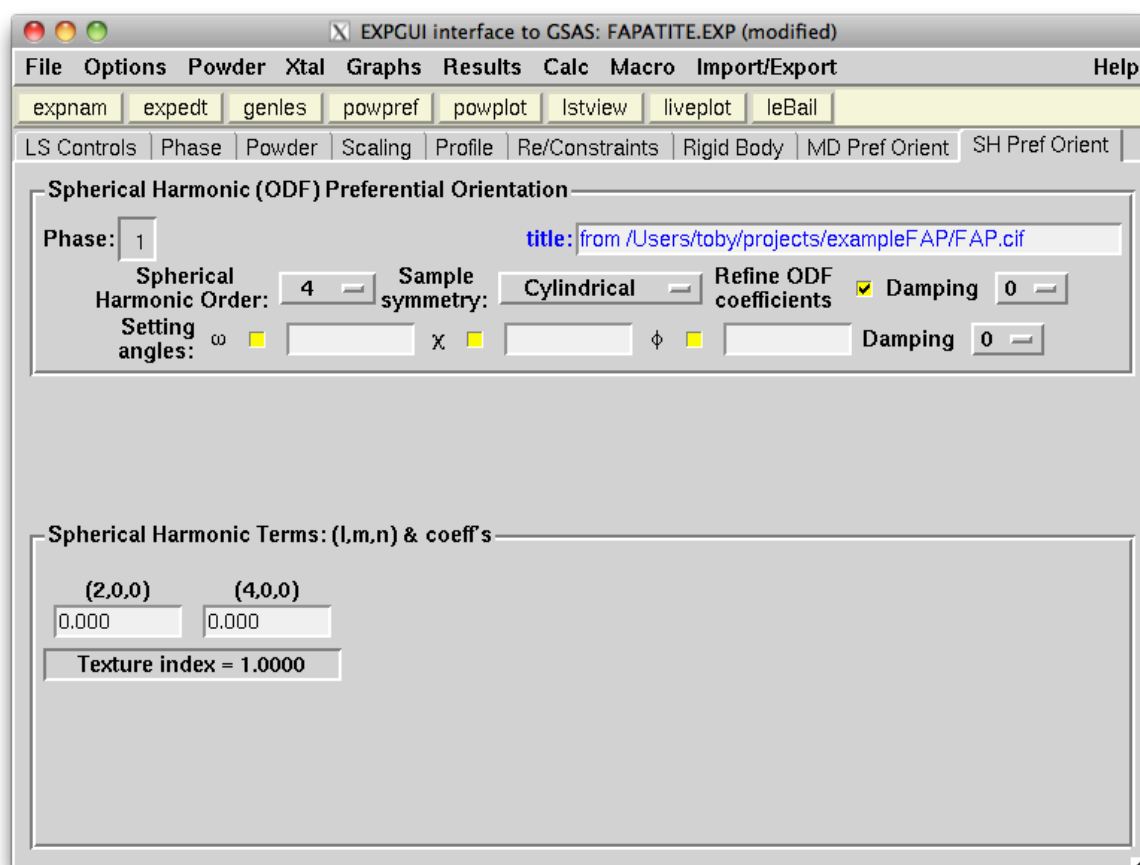
Spherical Harmonic Terms: (l,m,n) & coeff's

no terms

If we set the **Spherical Harmonic Order** to 2 in this symmetry, we get one refinable term, so I am willing to set the order higher to get a few more terms.

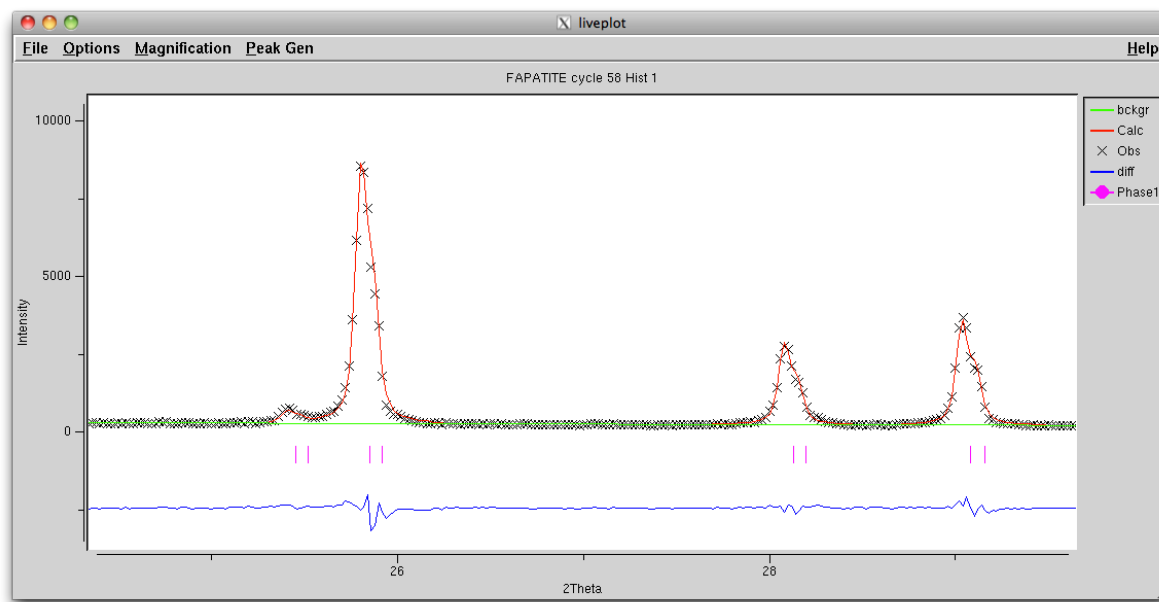


Setting the to **Spherical Harmonic Order** 4 brings us to only two preferred orientation terms:

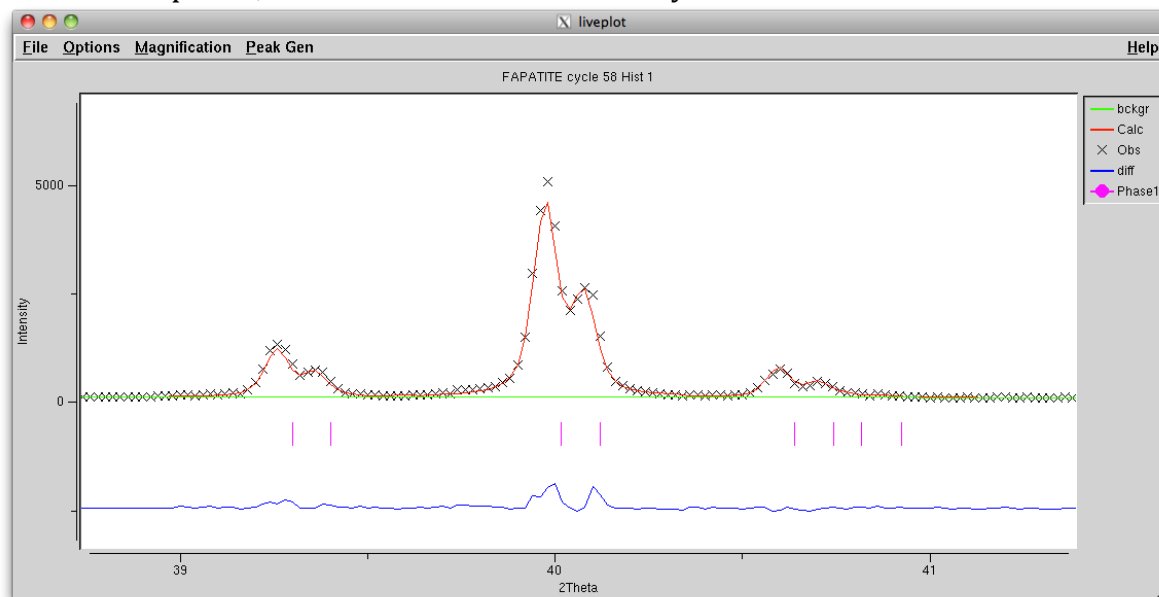


If we add them to the refinement by checking the **Refine ODF coefficients** checkbox and then running GENLES, we see a small drop in the  $\chi^2$  to 3.093 with texture terms that a very close to zero. It is not clear if this improvement is meaningful.

Looking closely at the fit in liveplot at this stage, we can see that the profile is not perfectly fit, as seen below,



also in a few places, reflection intensities are off by a little bit:



There are really no options for improving the profile. Also, there are no reasonable ways to increase the complexity of the structural model, so I would have to say we are tentatively done and accept this as the best fit to the data, unless any more good ideas pop up.